

INVARIANT MEASURES FOR BIPERMUTATIVE CELLULAR AUTOMATA

MARCUS PIVATO

Department of Mathematics
Trent University
Peterborough, Ontario, K9L 1Z8, Canada

(Communicated by Lluís Alseda)

Abstract. A *right-sided, nearest neighbour cellular automaton* (RNNCA) is a continuous transformation $\Phi : \mathcal{A}^{\mathbb{Z}} \rightarrow \mathcal{A}^{\mathbb{Z}}$ determined by a *local rule* $\phi : \mathcal{A}^{\{0,1\}} \rightarrow \mathcal{A}$ so that, for any $\mathbf{a} \in \mathcal{A}^{\mathbb{Z}}$ and any $z \in \mathbb{Z}$, $\Phi(\mathbf{a})_z = \phi(a_z, a_{z+1})$. We say that Φ is *bipermutative* if, for any choice of $a \in \mathcal{A}$, the map $\mathcal{A} \ni b \mapsto \phi(a, b) \in \mathcal{A}$ is bijective, and also, for any choice of $b \in \mathcal{A}$, the map $\mathcal{A} \ni a \mapsto \phi(a, b) \in \mathcal{A}$ is bijective.

We characterize the invariant measures of bipermutative RNNCA. First we introduce the equivalent notion of a *quasigroup CA*. Then we characterize Φ -invariant measures when \mathcal{A} is a (nonabelian) group, and $\phi(a, b) = a \cdot b$. Then we show that, if Φ is any bipermutative RNNCA, and μ is Φ -invariant, then Φ must be μ -almost everywhere K -to-1, for some constant K . We then characterize invariant measures when $\mathcal{A}^{\mathbb{Z}}$ is a group shift and Φ is an endomorphic CA.

1. Introduction. If \mathcal{A} is a (discretely topologized) finite set, then $\mathcal{A}^{\mathbb{Z}}$ is compact in the Tychonoff topology. Let $\sigma : \mathcal{A}^{\mathbb{Z}} \rightarrow \mathcal{A}^{\mathbb{Z}}$ be the shift map: $\sigma(\mathbf{a}) = [b_z]_{z \in \mathbb{Z}}$, where $b_z = a_{z+1}$, for all $z \in \mathbb{Z}$. For any $n, m \in \mathbb{Z}$, let $[n \dots m] := \{n, n+1, \dots, m\}$. A *cellular automaton* (CA) is a dynamical system $\Phi : \mathcal{A}^{\mathbb{Z}} \rightarrow \mathcal{A}^{\mathbb{Z}}$ defined by a *local rule* $\phi : \mathcal{A}^{[-\ell \dots r]} \rightarrow \mathcal{A}$ (for some $\ell, r \geq 0$) so that, for any $\mathbf{a} \in \mathcal{A}^{\mathbb{Z}}$ and any $z \in \mathbb{Z}$, $\Phi(\mathbf{a})_z = \phi(a_{z-\ell}, \dots, a_{z+r})$. Equivalently [4], a CA is continuous map $\Phi : \mathcal{A}^{\mathbb{Z}} \rightarrow \mathcal{A}^{\mathbb{Z}}$ which commutes with σ .

Let $[-\ell \dots r] := [-\ell \dots r-1]$ and let $(-\ell \dots r] := [1-\ell \dots r]$. Then Φ is *right-permutative* if, for any fixed $\mathbf{a} \in \mathcal{A}^{[-\ell \dots r]}$, the map $\mathcal{A} \ni b \mapsto \phi(\mathbf{a}, b) \in \mathcal{A}$ is bijective. Likewise, Φ is *left-permutative* if, for any fixed $\mathbf{b} \in \mathcal{A}^{(-\ell \dots r]}$, the map $\mathcal{A} \ni a \mapsto \phi(a, \mathbf{b}) \in \mathcal{A}$ is bijective, and Φ is *bipermutative* if it is both left- and right-permutative.

Example 1.1: (a) If $(\mathcal{A}, +)$ is an abelian group, $\ell = 0$ and $r = 1$, and $\phi(a_0, a_1) = a_0 + a_1$, then Φ is called a *nearest neighbour addition CA*, and is bipermutative.

(b) If $\mathcal{A} = \mathbb{Z}/p$ (where p is prime), and let $c_0, c_1, d \in \mathbb{Z}/p$ be constants ($c_0 \neq 0 \neq c_1$). If $\phi(a_0, a_1) = c_0 a_0 + c_1 a_1 + d$, then Φ is called an *affine CA*, and is bipermutative. \diamond

We say that Φ is a *right-sided, nearest neighbour cellular automaton* (RNNCA) if $\ell = 0$ and $r = 1$ [as in Examples 1.1(a) and 1.1(b)]. It is easy to show:

2000 *Mathematics Subject Classification.* Primary: 37B15; Secondary: 37A50.

Key words and phrases. Cellular automata, permutative, invariant measure, quasigroup, Latin square, endomorphism, group shift.

Partially supported by NSERC Canada.

Lemma 1.2. *Let $\Phi : \mathcal{A}^{\mathbb{Z}} \rightarrow \mathcal{A}^{\mathbb{Z}}$ be a CA and let $\mathcal{B} = \mathcal{A}^{\ell+r}$. There is an RNNCA $\Gamma : \mathcal{B}^{\mathbb{Z}} \rightarrow \mathcal{B}^{\mathbb{Z}}$ so that the topological dynamical system $(\mathcal{A}^{\mathbb{Z}}, \Phi)$ is isomorphic to the system $(\mathcal{B}^{\mathbb{Z}}, \Gamma)$.*

Furthermore $\left(\Phi \text{ is bipermutative} \right) \iff \left(\Gamma \text{ is bipermutative} \right)$. \square

Let λ be the uniform Bernoulli measure on $\mathcal{A}^{\mathbb{Z}}$. Thus, for any $n \leq m$, if $(c_n, \dots, c_m) \in \mathcal{A}^{[n..m]}$, then $\lambda\{\mathbf{a} \in \mathcal{A}^{\mathbb{Z}} ; (a_n, \dots, a_m) = (c_n, \dots, c_m)\} = 1/|\mathcal{A}|^{m-n+1}$. Let $\mathcal{M}(\mathcal{A}^{\mathbb{Z}}; \Phi; \sigma)$ be the set of Φ - and σ -invariant probability measures on $\mathcal{A}^{\mathbb{Z}}$. Let Φ be a permutative CA. Then Φ is surjective, and thus $\lambda \in \mathcal{M}(\mathcal{A}^{\mathbb{Z}}; \Phi; \sigma)$ [4]. What other measures (if any) lie in $\mathcal{M}(\mathcal{A}^{\mathbb{Z}}; \Phi; \sigma)$? Let $h_{\mu}(\Phi)$ denote the *measurable entropy* [10, §5.2] of the measure-preserving dynamical system $(\mathcal{A}^{\mathbb{Z}}, \Phi, \mu)$. Let $\mathcal{M}(\mathcal{A}^{\mathbb{Z}}; \Phi; \sigma\text{-erg})$ be the σ -ergodic measures in $\mathcal{M}(\mathcal{A}^{\mathbb{Z}}; \Phi; \sigma)$. Host, Maass, and Martínez [1] have shown:

Proposition 1.3. [1, Theorem 12] *Let $\mathcal{A} = \mathbb{Z}/p$, where p is prime. Let $\Phi : \mathcal{A}^{\mathbb{Z}} \rightarrow \mathcal{A}^{\mathbb{Z}}$ be an affine CA. If $\mu \in \mathcal{M}(\mathcal{A}^{\mathbb{Z}}; \Phi; \sigma\text{-erg})$, and $h_{\mu}(\Phi) > 0$, then $\mu = \lambda$.* \square

This paper generalizes Proposition 1.3 in three ways. In §2, we introduce quasigroups, and reformulate bipermutative RNNCA as *quasigroup CA*. In §3 we characterize invariant measures for *nearest-neighbour multiplication* CA (when \mathcal{A} is a non-abelian group). In §4 we extend the method of [1] to prove: if $\mu \in \mathcal{M}(\mathcal{A}^{\mathbb{Z}}; \Phi\text{-erg}; \sigma)$ then there is some $K \leq |\mathcal{A}|$ so that Φ is K -to-1 (μ -æ) (Theorem 4.1). In §5 we generalize Proposition 1.3 to *endomorphisms* CA on group shifts (Theorem 5.2).

Notation: If μ is a measure, then ‘ $\forall_{\mu} x$ ’ means ‘ μ -almost all x ’, and ‘ μ -æ’ means ‘ μ -almost everywhere’. If \mathbf{U} and \mathbf{V} are measurable sets, then ‘ $\mathbf{U} \subset_{\mu} \mathbf{V}$ ’ means $\mu[\mathbf{U} \setminus \mathbf{V}] = 0$, and ‘ $\mathbf{U} \overline{=} \mathbf{V}$ ’ means $\mathbf{U} \subset_{\mu} \mathbf{V}$ and $\mathbf{V} \subset_{\mu} \mathbf{U}$. If \mathfrak{S} is a sigma algebra and \mathbf{U} is a measurable set, then $\mathbb{E}_{\mu}[\mathbf{U} | \mathfrak{S}]$ is the conditional expectation of $\mathbf{1}_{\mathbf{U}}$ given \mathfrak{S} .

2. Quasigroup Cellular Automata. A *quasigroup* [11] is a finite set \mathcal{A} equipped with a binary operation ‘ $*$ ’ which has the left- and right-cancellation properties. In other words, for any $a, b, c \in \mathcal{A}$,

$$\left(a * b = a * c \right) \iff \left(b = c \right) \quad \text{and} \quad \left(b * a = c * a \right) \iff \left(b = c \right).$$

(Note that the operator ‘ $*$ ’ is not necessarily associative. Indeed, it is easy to show: ‘ $*$ ’ is associative if and only if $(\mathcal{A}, *)$ is a group.) Let $A := |\mathcal{A}|$. The ‘multiplication table’ for $*$ is the $A \times A$ matrix $\mathbf{M}^* = [m_{a,b}]_{a,b \in \mathcal{A}}$, where $m_{a,b} = a * b$. It follows that $(\mathcal{A}, *)$ is a quasigroup if and only if \mathbf{M}^* is a *Latin square*, which means that every column and every row of \mathbf{M}^* contains each element of \mathcal{A} exactly once [5]. A *quasigroup cellular automaton* (QGCA) is a RNNCA $\Phi : \mathcal{A}^{\mathbb{Z}} \rightarrow \mathcal{A}^{\mathbb{Z}}$ with local rule $\phi : \mathcal{A}^{\{0,1\}} \rightarrow \mathcal{A}$ given: $\phi(a_0, a_1) = a_0 * a_1$, where ‘ $*$ ’ is a quasigroup operation. For instance, Example 1.1(a) is a QGCA. It follows:

$$\left(\Phi \text{ is a bipermutative RNNCA} \right) \iff \left(\Phi \text{ is a quasigroup CA} \right).$$

The obvious generalization of Proposition 1.3 fails for arbitrary quasigroup CA. If $\mathcal{B} \subset \mathcal{A}$, then \mathcal{B} is a *subquasigroup* ($\mathcal{B} \prec \mathcal{A}$) if \mathcal{B} is closed under the ‘ $*$ ’ operation.

Lemma 2.1. *If $\Phi : \mathcal{A}^{\mathbb{Z}} \rightarrow \mathcal{A}^{\mathbb{Z}}$ is a QGCA, and $\mathcal{B} \prec \mathcal{A}$, then $\mathcal{B}^{\mathbb{Z}}$ is a Φ -invariant subshift. If μ is the uniform Bernoulli measure on $\mathcal{B}^{\mathbb{Z}}$, then $\mu \in \mathcal{M}(\mathcal{A}^{\mathbb{Z}}; \Phi; \sigma\text{-erg})$. If $|\mathcal{B}| = K$, then $h_{\mu}(\Phi) = \log(K)$ and Φ is K -to-1 (μ -æ).* \square

If $\mathcal{B} \prec \mathcal{A}$ and $(\mathcal{A}, *)$ is a finite group, then \mathcal{B} is a subgroup. Thus, if $|\mathcal{A}|$ is prime, then \mathcal{A} cannot have nontrivial subquasigroups. However, other prime cardinality quasigroups can. For example, let $\mathcal{D} := \{a_1, a_2; b_1, b_2; c_1, c_2, c_3\}$ (so $|\mathcal{D}| = 7$ is prime). Given the multiplication table below, $(\mathcal{D}, *)$ has two subquasigroups: $\mathcal{A} = \{a_1, a_2\}$ and $\mathcal{B} = \{b_1, b_2\}$.

*	a_1	a_2	b_1	b_2	c_1	c_2	c_3
a_1	a_1	a_2	c_1	c_2	b_2	b_1	c_3
a_2	a_2	a_1	c_2	c_1	b_1	c_3	b_2
b_1	c_1	c_3	b_1	b_2	c_2	a_1	a_2
b_2	c_3	c_1	b_2	b_1	a_1	a_2	c_2
c_1	b_1	b_2	c_3	a_1	a_2	c_2	c_1
c_2	b_2	c_2	a_1	a_2	c_3	c_1	b_1
c_3	c_2	b_1	a_2	c_3	c_1	b_2	a_1

Note: If $\Phi: \mathcal{A}^{\mathbb{Z}} \rightarrow \mathcal{A}^{\mathbb{Z}}$ is a QGCA, and $\mu \in \mathcal{M}(\mathcal{A}^{\mathbb{Z}}; \Phi; \sigma\text{-erg})$, and $h_\mu(\Phi) > 0$, then μ is *not* necessarily the uniform measure on $\mathcal{B}^{\mathbb{Z}}$ for some $\mathcal{B} \prec \mathcal{A}$; see Examples 3.2(b,c). \diamond

Let $\mathbb{N} := \{0, 1, 2, 3, \dots\}$. Any right-sided CA $\Phi: \mathcal{A}^{\mathbb{Z}} \rightarrow \mathcal{A}^{\mathbb{Z}}$ induces a *unilateral* CA $\tilde{\Phi}: \mathcal{A}^{\mathbb{N}} \rightarrow \mathcal{A}^{\mathbb{N}}$ with the same local rule. Any $\mu \in \mathcal{M}(\mathcal{A}^{\mathbb{Z}}; \Phi; \sigma)$ projects to a measure $\tilde{\mu} \in \mathcal{M}(\mathcal{A}^{\mathbb{N}}; \tilde{\Phi}; \sigma)$, and any $\tilde{\mu} \in \mathcal{M}(\mathcal{A}^{\mathbb{N}}; \tilde{\Phi}; \sigma)$ extends to a unique $\mu \in \mathcal{M}(\mathcal{A}^{\mathbb{Z}}; \Phi; \sigma)$. In what follows, we will abuse notation and write $\tilde{\Phi}$ as Φ .

Lemma 2.2. [3, Prop.2.3] *If $\Phi: \mathcal{A}^{\mathbb{N}} \rightarrow \mathcal{A}^{\mathbb{N}}$ is right-permutative, then $(\mathcal{A}^{\mathbb{N}}, \Phi)$ is conjugate to a full shift. To be precise, define $\Xi: \mathcal{A}^{\mathbb{N}} \rightarrow \mathcal{A}^{\mathbb{N}}$ by*

$$\Xi(\mathbf{a}) := [a_0, \Phi(\mathbf{a})_0, \Phi^2(\mathbf{a})_0, \Phi^3(\mathbf{a})_0, \dots].$$

Then Ξ is a conjugacy from $(\mathcal{A}^{\mathbb{N}}, \Phi)$ to $(\mathcal{A}^{\mathbb{N}}, \sigma)$ (ie. Ξ is a homeomorphism and $\Xi \circ \Phi = \sigma \circ \Xi$). \square

Let $(\mathcal{A}, *)$ be a quasigroup. The *dual* quasigroup is the set \mathcal{A} equipped with binary operator $\hat{*}$ defined: $a \hat{*} b = c$, where c is the unique element in \mathcal{A} such that $a * c = b$. If $(\mathcal{A}, *)$ is a group, then $a \hat{*} b = a^{-1} * b$. If $\Phi: \mathcal{A}^{\mathbb{N}} \rightarrow \mathcal{A}^{\mathbb{N}}$ is a QGCA (with local map $\phi(a, b) = a * b$), then the *dual* of Φ is the right-permutative RNNCA $\hat{\Phi}: \mathcal{A}^{\mathbb{N}} \rightarrow \mathcal{A}^{\mathbb{N}}$ with local map $\hat{\phi}(a, b) := a \hat{*} b$ (see Figure 1).

Lemma 2.3. *Let $(\mathcal{A}, *)$ be a quasigroup. Let $\Phi: \mathcal{A}^{\mathbb{N}} \rightarrow \mathcal{A}^{\mathbb{N}}$ be the corresponding QGCA.*

(a) *$(\mathcal{A}, \hat{*})$ is a quasigroup, and $\hat{\Phi}: \mathcal{A}^{\mathbb{N}} \rightarrow \mathcal{A}^{\mathbb{N}}$ is a QGCA. The dual of $\hat{*}$ is $*$, and the dual of $\hat{\Phi}$ is Φ .*

(b) *Ξ is a topological conjugacy from the dynamical system $(\mathcal{A}^{\mathbb{N}}, \sigma)$ to $(\mathcal{A}^{\mathbb{N}}, \hat{\Phi})$.*

(c) *If $\mathcal{B} \subset \mathcal{A}$, then $((\mathcal{B}, *) \prec (\mathcal{A}, *)) \iff ((\mathcal{B}, \hat{*}) \prec (\mathcal{A}, \hat{*}))$.*

Let μ be a measure on $\mathcal{A}^{\mathbb{N}}$, and let $\hat{\mu} := \Xi(\mu)$. Then:

(d) *$(\mu \text{ is } \Phi\text{-invariant}) \iff (\hat{\mu} \text{ is } \sigma\text{-invariant})$.*

(e) *$(\mu \text{ is } \sigma\text{-ergodic}) \iff (\hat{\mu} \text{ is } \hat{\Phi}\text{-ergodic})$.*

(f) *If $\mu \in \mathcal{M}(\mathcal{A}^{\mathbb{N}}; \Phi; \sigma)$, then $h(\Phi, \mu) = h(\hat{\Phi}, \hat{\mu}) = h(\sigma, \mu) = h(\sigma, \hat{\mu})$. \square*

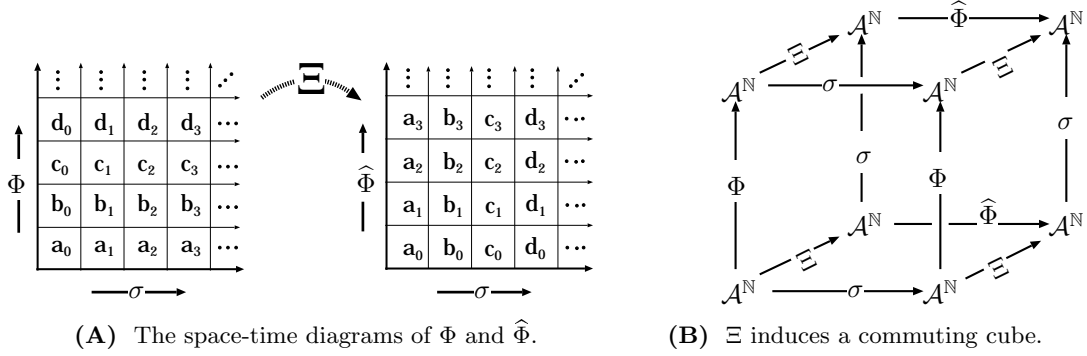


FIGURE 1.

3. Multiplication CA on Nonabelian Groups . Throughout this section, let \mathcal{A} be a finite (possibly nonabelian) group with identity e , and let $\Phi : \mathcal{A}^{\mathbb{N}} \rightarrow \mathcal{A}^{\mathbb{N}}$ be the *nearest neighbour multiplication* CA (NNMCA), with local map $\phi(a_0, a_1) = a_0 \cdot a_1$. This type of CA was previously studied in [9, 12]. Let $\tilde{\mathbb{N}} := \{1, 2, 3, \dots\}$. Let $\mu \in \mathcal{M}(\mathcal{A}^{\mathbb{N}})$. For any $\mathbf{a} \in \mathcal{A}^{\tilde{\mathbb{N}}}$, let $\mu_{\mathbf{a}}$ be the conditional measure induced by \mathbf{a} on the zeroth coordinate. That is:

$$\forall b \in \mathcal{A}, \quad \mu_{\mathbf{a}}(b) := \mu \left[x_0 = b \mid \mathbf{x}|_{\tilde{\mathbb{N}}} = \mathbf{a} \right],$$

(where $\mathbf{x} \in \mathcal{A}^{\mathbb{N}}$ is a μ -random sequence). Let $\tilde{\mu}$ be the projection of μ onto $\mathcal{A}^{\tilde{\mathbb{N}}}$. Then we have the following disintegration [13]:

$$\mu = \int_{\mathcal{A}^{\tilde{\mathbb{N}}}} (\mu_{\mathbf{a}} \otimes \delta_{\mathbf{a}}) d\tilde{\mu}[\mathbf{a}]. \quad (1)$$

Let $\mathcal{C} \prec \mathcal{A}$ be a subgroup. Say μ is a \mathcal{C} -measure if, for $\forall_{\tilde{\mu}} \mathbf{a} \in \mathcal{A}^{\tilde{\mathbb{N}}}$, $\text{supp}(\mu_{\mathbf{a}})$ is a right coset of \mathcal{C} , and $\mu_{\mathbf{a}}$ is uniformly distributed on this coset. Our main result in this section is:

Theorem 3.1. *If $\Phi : \mathcal{A}^{\mathbb{Z}} \rightarrow \mathcal{A}^{\mathbb{Z}}$ is an NNMCA, and $\mu \in \mathcal{M}(\mathcal{A}^{\mathbb{N}}; \Phi\text{-erg}; \sigma)$, then μ is a \mathcal{C} -measure for some $\mathcal{C} \prec \mathcal{A}$. \square*

Example 3.2: (a) Let $\mathcal{C} \prec \mathcal{A}$ be any subgroup, and let μ be the uniform measure on $\mathcal{C}^{\mathbb{N}}$. Then μ is a \mathcal{C} -measure (for any $\mathbf{a} \in \mathcal{A}^{\tilde{\mathbb{N}}}$, $\mu_{\mathbf{a}}$ is uniform on \mathcal{C}), and $\mu \in \mathcal{M}(\mathcal{A}^{\mathbb{Z}}; \Phi\text{-erg}; \sigma)$.

(b) Let $\mathcal{Q} = \{\pm 1, \pm \mathbf{i}, \pm \mathbf{j}, \pm \mathbf{k}\}$ be the Quaternion group [2, §1.5], and let $\Phi_{\mathcal{Q}} : \mathcal{Q}^{\mathbb{N}} \rightarrow \mathcal{Q}^{\mathbb{N}}$ be the NNMCA. If $\mathbf{p} := [\mathbf{i}, \mathbf{j}, \mathbf{k}, \mathbf{i}, \mathbf{j}, \mathbf{k}, \dots]$, then $\Phi_{\mathcal{Q}}(\mathbf{p}) = [\mathbf{k}, \mathbf{i}, \mathbf{j}, \mathbf{k}, \mathbf{i}, \mathbf{j}, \dots]$, so $\Phi_{\mathcal{Q}}^2(\mathbf{p}) = [\mathbf{j}, \mathbf{k}, \mathbf{i}, \mathbf{j}, \mathbf{k}, \mathbf{i}, \dots]$ so $\Phi_{\mathcal{Q}}^3(\mathbf{p}) = [\mathbf{i}, \mathbf{j}, \mathbf{k}, \mathbf{i}, \mathbf{j}, \mathbf{k}, \dots] = \mathbf{p}$. Let $\mu_{\mathcal{Q}}$ be the measure on $\mathcal{Q}^{\mathbb{N}}$ assigning probability 1/3 to each of \mathbf{p} , $\Phi_{\mathcal{Q}}(\mathbf{p})$ and $\Phi_{\mathcal{Q}}^2(\mathbf{p})$. Then $\mu_{\mathcal{Q}} \in \mathcal{M}(\mathcal{Q}^{\mathbb{N}}; \Phi_{\mathcal{Q}}\text{-erg}; \sigma)$.

Now, let \mathcal{C} be any other group, and let $\mathcal{A} = \mathcal{C} \times \mathcal{Q}$. Identify \mathcal{C} with $\mathcal{C} \times \{1\} \prec \mathcal{A}$; then \mathcal{C} is a normal subgroup of \mathcal{A} , and $\mathcal{Q} = \mathcal{A}/\mathcal{C}$. The cosets of \mathcal{C} all have the form $\mathcal{C} \times \{q\}$ for some $q \in \mathcal{Q}$. There is a natural identification $\mathcal{A}^{\mathbb{N}} \cong \mathcal{C}^{\mathbb{N}} \times \mathcal{Q}^{\mathbb{N}}$, given: $\left[\begin{pmatrix} c_0 \\ q_0 \end{pmatrix}, \begin{pmatrix} c_1 \\ q_1 \end{pmatrix}, \begin{pmatrix} c_2 \\ q_2 \end{pmatrix}, \dots \right] \longleftrightarrow ([c_0, c_1, c_2, \dots]; [q_0, q_1, q_2, \dots])$. Let $\mu_{\mathcal{C}}$ be the uniform Bernoulli measure on $\mathcal{C}^{\mathbb{N}}$, and let $\mu = \mu_{\mathcal{C}} \otimes \mu_{\mathcal{Q}}$.

Claim 1: μ is a \mathcal{C} -measure.

Proof: Let $\mathbf{a} \in \mathcal{A}^{\mathbb{N}}$ be a μ -random sequence. Then $\mathbf{a} = (\mathbf{c}, \mathbf{q})$, where $\mathbf{q} \in \{\mathbf{p}, \Phi_{\mathcal{Q}}(\mathbf{p}), \Phi_{\mathcal{Q}}^2(\mathbf{p})\}$, (with probability $1/3$ each), and $\mathbf{c} = (c_0, c_1, c_2, \dots)$ is a sequence of independent, uniformly distributed random elements of \mathcal{C} . The coordinates $[a_1, a_2, a_3, \dots] = \left[\binom{c_1}{q_1}, \binom{c_2}{q_2}, \binom{c_3}{q_3}, \dots \right]$ determine \mathbf{q} , and thus, determine q_0 . Thus, $\mu_{[a_1, a_2, a_3, \dots]}$ is uniformly distributed on the coset $\mathcal{C} \times \{q_0\}$. \diamond **Claim 1**

Claim 2: Let $\Phi: \mathcal{A}^{\mathbb{N}} \rightarrow \mathcal{A}^{\mathbb{N}}$ be the NNMCA. Then $\mu \in \mathcal{M}(\mathcal{A}^{\mathbb{N}}; \Phi\text{-erg}; \sigma)$.

Proof: μ is clearly σ -invariant.

μ is Φ -invariant: Let $\Phi_{\mathcal{C}}: \mathcal{C}^{\mathbb{N}} \rightarrow \mathcal{C}^{\mathbb{N}}$ be the NNMCA on $\mathcal{C}^{\mathbb{N}}$. Then $\Phi = \Phi_{\mathcal{C}} \times \Phi_{\mathcal{Q}}$. Thus, $\Phi(\mu) = \Phi_{\mathcal{C}}(\mu_{\mathcal{C}}) \otimes \Phi_{\mathcal{Q}}(\mu_{\mathcal{Q}}) = \mu_{\mathcal{C}} \otimes \mu_{\mathcal{Q}} = \mu$.

μ is Φ -ergodic: The system $(\mathcal{C}^{\mathbb{N}}, \Phi_{\mathcal{C}}, \mu_{\mathcal{C}})$ is mixing [7, Thm 6.3], thus weakly mixing. The system $(\mathcal{Q}^{\mathbb{N}}, \Phi_{\mathcal{Q}}, \mu_{\mathcal{Q}})$ is ergodic. Thus, the product system $(\mathcal{A}^{\mathbb{N}}, \Phi, \mu) \cong (\mathcal{C}^{\mathbb{N}} \times \mathcal{Q}^{\mathbb{N}}, \Phi_{\mathcal{C}} \times \Phi_{\mathcal{Q}}, \mu_{\mathcal{C}} \otimes \mu_{\mathcal{Q}})$ is also ergodic [10, Thm. 2.6.1]. \diamond **Claim 2**

Note that $h(\mu, \sigma) = h(\mu_{\mathcal{C}}, \sigma) = \log_2 |\mathcal{C}| > 0$, but $\text{supp}(\mu) \neq \mathcal{B}^{\mathbb{N}}$ for any subgroup $\mathcal{B} \prec \mathcal{A}$.

(c) Let $(\mathcal{A}, +)$ be an abelian group, and let $\mathfrak{G} \subset \mathcal{A}^{\mathbb{Z}}$ be a *subgroup shift* (a closed, σ -invariant subgroup). If Φ is as in 1.1(a), then $\Phi(\mathfrak{G}) = \mathfrak{G}$. If η is the Haar measure on \mathfrak{G} , then $\eta \in \mathcal{M}(\mathcal{A}^{\mathbb{Z}}; \Phi; \sigma\text{-erg})$. Note that there may be no $\mathcal{B} \prec \mathcal{A}$ so that $\mathfrak{G} = \mathcal{B}^{\mathbb{Z}}$; see [6, Example 4]. Invariant measures of additive CA on subgroup shifts are investigated in [8]. \diamond

Lemma 3.3. (a) If μ is a \mathcal{C} -measure, then $h(\mu, \sigma) = \log_2 |\mathcal{C}|$.

(b) $\left(\mu \text{ is an } \mathcal{A}\text{-measure} \right) \iff \left(\mu \text{ is the uniform measure on } \mathcal{A}^{\mathbb{N}} \right)$.

(c) Let $\{e\}$ be the identity subgroup. Then the following are equivalent:

[i] μ is an $\{e\}$ -measure; [ii] $|\text{supp}(\mu_{\mathbf{a}})| = 1$, for $\forall_{\tilde{\mu}} \mathbf{a} \in \mathcal{A}^{\tilde{\mathbb{N}}}$; [iii] $h(\mu, \sigma) = 0$.

Proof: (a) and (b) are obvious, and in (c), it is clear that [i] \iff [ii]. To see that [ii] \iff [iii], let $\tilde{\mathbf{F}} := \left\{ \mathbf{a} \in \mathcal{A}^{\tilde{\mathbb{N}}} ; |\text{supp}(\mu_{\mathbf{a}})| \geq 2 \right\}$. If ρ is a measure on \mathcal{A} , define $H(\rho) := - \sum_{b \in \mathcal{A}} \rho\{b\} \log_2(\rho\{b\})$. Then $\left(H(\rho) > 0 \right) \iff \left(|\text{supp}(\rho)| \geq 2 \right)$.

Thus,

$$h(\mu, \sigma) \stackrel{(*)}{=} \int_{\mathcal{A}^{\tilde{\mathbb{N}}}} H(\mu_{\mathbf{a}}) d\tilde{\mu}[\mathbf{a}] \stackrel{(\dagger)}{=} \int_{\tilde{\mathbf{F}}} H(\mu_{\mathbf{a}}) d\tilde{\mu}[\mathbf{a}] \stackrel{(\ddagger)}{>} 0,$$

For $(*)$ see [10, Prop. 5.2.12]. (\dagger) and (\ddagger) are because $(H(\mu_{\mathbf{a}}) > 0) \iff (\mathbf{a} \in \tilde{\mathbf{F}})$, and (\ddagger) holds only if $\tilde{\mu}[\tilde{\mathbf{F}}] > 0$. Thus, [ii] $\iff (\tilde{\mu}[\tilde{\mathbf{F}}] = 0) \iff (h(\mu, \sigma) = 0)$. \square

Corollary 3.4. Let $h_{\max} := \max \{ \log_2 |\mathcal{C}| ; \mathcal{C} \text{ a proper subgroup of } \mathcal{A} \}$. If $\Phi: \mathcal{A}^{\mathbb{N}} \rightarrow \mathcal{A}^{\mathbb{N}}$ is a NNMCA, and $\mu \in \mathcal{M}(\mathcal{A}^{\mathbb{N}}; \Phi\text{-erg}; \sigma)$ and $h(\mu, \sigma) > h_{\max}$, then $\mu = \lambda$.

Proof: Theorem 3.1 says μ must be a \mathcal{C} -measure for some subgroup $\mathcal{C} \prec \mathcal{A}$. But if \mathcal{B} is any proper subgroup, then $h(\mu, \sigma) > h_{\max} \geq \log_2 |\mathcal{B}|$, so Lemma 3.3(a) says \mathcal{C} can't be \mathcal{B} . Thus, $\mathcal{C} = \mathcal{A}$. Then Lemma 3.3(b) says that μ is the uniform measure. \square

Example 3.5: (a) If p is prime, then \mathbb{Z}/p has no nontrivial proper subgroups, so $h_{\max} = 0$. In this case, Corollary 3.4 becomes a special case of Proposition 1.3.

(b) If p and q are prime and p divides $q - 1$, then there is a unique nonabelian group of order pq [2, §5.5]. For example, let $p = 3$ and $q = 7$ and let \mathcal{A} be the unique nonabelian group of order 21. Then $h_{\max} = \log_2(7) \approx 2.807 < 4.392 \approx \log_2(21)$. Hence, if $\mu \in \mathcal{M}(\mathcal{A}^{\mathbb{N}}; \Phi\text{-erg}; \sigma)$ and $h(\mu, \sigma) \geq 2.81$, then $\mu = \lambda$. \diamond

If $b \in \mathcal{A}$, then we define (left) scalar multiplication by b upon $\mathcal{A}^{\mathbb{N}}$ in the obvious way: if $\mathbf{c} = [c_0, c_1, c_2, \dots] \in \mathcal{A}^{\mathbb{N}}$, then $b \cdot \mathbf{c} = [bc_0, bc_1, bc_2, \dots]$. For any sequence $\mathbf{a} = [a_1, a_2, a_3, \dots]$ in $\mathcal{A}^{\mathbb{N}}$ and any $b \in \mathcal{A}$, let $[b, \mathbf{a}]$ denote the sequence $[b, a_1, a_2, a_3, \dots]$ in $\mathcal{A}^{\mathbb{N}}$. Recall the conjugacy $\Xi : \mathcal{A}^{\mathbb{N}} \rightarrow \mathcal{A}^{\mathbb{N}}$ and the dual cellular automaton $\widehat{\Phi} : \mathcal{A}^{\mathbb{N}} \rightarrow \mathcal{A}^{\mathbb{N}}$ introduced in §2.

Lemma 3.6. *Let $\mathbf{a} \in \mathcal{A}^{\mathbb{N}}$, and suppose $\Xi(\mathbf{a}) = [b_0, b_1, b_2, \dots]$. Then:*

- (a) $\Xi[e, \mathbf{a}] = [e, b_0, b_0b_1, b_0b_1b_2, b_0b_1b_2b_3, \dots]$.
- (b) If $b \in \mathcal{A}$, then $\Xi[b, \mathbf{a}] = b \cdot \Xi[e, \mathbf{a}]$. If $c \in \mathcal{A}$ then $\Xi[cb, \mathbf{a}] = c \cdot \Xi[b, \mathbf{a}]$. \square

A point $\mathbf{g} \in \mathcal{A}^{\mathbb{N}}$ is (Φ, μ) -generic if $\mu[\mathbf{U}] = \lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N \mathbf{1}_{\mathbf{U}}(\Phi^n(\mathbf{g}))$ for any cylinder set $\mathbf{U} \subset \mathcal{A}^{\mathbb{N}}$. Let $\mathcal{G}(\Phi, \mu)$ be the set of (Φ, μ) -generic points in $\mathcal{A}^{\mathbb{N}}$.

Lemma 3.7. (a) *If $\mu \in \mathcal{M}(\mathcal{A}^{\mathbb{N}}; \Phi\text{-erg})$, then $\mu[\mathcal{G}(\Phi, \mu)] = 1$.*

(b) *If $\widehat{\mu} = \Xi(\mu)$, then $(\mu \in \mathcal{M}(\mathcal{A}^{\mathbb{N}}; \Phi\text{-erg}; \sigma)) \iff (\widehat{\mu} \in \mathcal{M}(\mathcal{A}^{\mathbb{N}}; \widehat{\Phi}; \sigma\text{-erg}))$.*

(c) *Let $\mathbf{g} \in \mathcal{A}^{\mathbb{N}}$. Then $(\mathbf{g} \in \mathcal{G}(\Phi, \mu)) \iff (\Xi(\mathbf{g}) \in \mathcal{G}(\sigma, \widehat{\mu}))$.*

(d) *Let $\widetilde{\mathbf{G}} := \{\mathbf{a} \in \mathcal{A}^{\mathbb{N}}; [b, \mathbf{a}] \in \mathcal{G}(\Phi, \mu) \text{ for all } b \in \text{supp}(\mu_{\mathbf{a}})\}$. Then $\widetilde{\mu}[\widetilde{\mathbf{G}}] = 1$.*

Proof: (a) For each cylinder set $\mathbf{C} \subset \mathcal{A}^{\mathbb{N}}$, let $\mathcal{G}_{\mathbf{C}} \subset \mathcal{A}^{\mathbb{N}}$ be the set of points which are (Φ, μ) -generic for \mathbf{C} ; then $\mu[\mathcal{G}_{\mathbf{C}}] = 1$ by the Birkhoff Ergodic Theorem. If \mathfrak{C} is the set of all cylinder sets, then \mathfrak{C} is countable, and $\mathcal{G}(\Phi, \mu) = \bigcap_{\mathbf{C} \in \mathfrak{C}} \mathcal{G}_{\mathbf{C}}$.

(b) follows from Lemma 2.3(d,e). (c) follows from Lemma 2.2.

(d) Suppose not. For every $\mathbf{a} \in \mathcal{A}^{\mathbb{N}}$, let $\mathcal{B}_{\mathbf{a}} := \{b \in \text{supp}(\mu_{\mathbf{a}}); [b, \mathbf{a}] \notin \mathcal{G}(\Phi, \mu)\}$. Let $\widetilde{\mathbf{H}} := \mathcal{A}^{\mathbb{N}} \setminus \widetilde{\mathbf{G}} = \{\mathbf{a} \in \mathcal{A}^{\mathbb{N}}; \mathcal{B}_{\mathbf{a}} \neq \emptyset\}$, and let $\mathbf{H} := \{[b, \mathbf{h}]; \mathbf{h} \in \widetilde{\mathbf{H}}, b \in \mathcal{B}_{\mathbf{h}}\}$.

If $\widetilde{\mu}[\widetilde{\mathbf{G}}] < 1$, then $\widetilde{\mu}[\widetilde{\mathbf{H}}] > 0$. Thus, $\mu[\mathbf{H}] \stackrel{(\dagger)}{=} \int_{\widetilde{\mathbf{H}}} \mu_{\mathbf{h}}[\mathcal{B}_{\mathbf{h}}] d\widetilde{\mu}[\mathbf{h}] \stackrel{(*)}{>} 0$, where

(†) is by eqn.(1), and (*) is because $\mu_{\mathbf{h}}[\mathcal{B}_{\mathbf{h}}] > 0$, for all $\mathbf{h} \in \widetilde{\mathbf{H}}$.

But $\mathcal{G}(\Phi, \mu) \subset \mathcal{A}^{\mathbb{N}} \setminus \mathbf{H}$, so if $\mu[\mathbf{H}] > 0$, then $\mu[\mathcal{G}(\Phi, \mu)] < 1$, contradicting (a). \square

Lemma 3.8. *Let $\mathbf{a} \in \mathcal{A}^{\mathbb{N}}$, and let $b, b' \in \mathcal{A}$. Suppose both $[b, \mathbf{a}]$ and $[b', \mathbf{a}]$ are in $\mathcal{G}(\Phi, \mu)$.*

If $c = b' \cdot b^{-1}$, then $\widehat{\mu}$ is invariant under (left) scalar multiplication by c . In other words, for any measurable subset $\mathbf{U} \subset \mathcal{A}^{\mathbb{N}}$, $\widehat{\mu}[c \cdot \mathbf{U}] = \widehat{\mu}[\mathbf{U}]$.

Proof: It suffices to check invariance for cylinder sets (they generate the Borel sigma algebra of $\mathcal{A}^{\mathbb{N}}$). Let $\mathbf{U} \subset \mathcal{A}^{\mathbb{N}}$ be a cylinder set. Let $\mathbf{g} := \Xi[b, \mathbf{a}]$ and $\mathbf{g}' := \Xi[b', \mathbf{a}]$. Then

$$\begin{aligned} \widehat{\mu}[\mathbf{U}] &\stackrel{(\mathbf{g}1)}{=} \lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N \mathbf{1}_{\mathbf{U}}(\sigma^n(\mathbf{g})) \stackrel{(*)}{=} \lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N \mathbf{1}_{\mathbf{U}}(\sigma^n(c^{-1} \cdot \mathbf{g}')) \\ &= \lim_{N \rightarrow \infty} \frac{1}{N} \sum_{n=1}^N \mathbf{1}_{(c \cdot \mathbf{U})}(\sigma^n(\mathbf{g}')) \stackrel{(\mathbf{g}2)}{=} \widehat{\mu}[c \cdot \mathbf{U}]. \end{aligned}$$

(**g1**) is because $\mathbf{g} \in \mathcal{G}(\sigma, \hat{\mu})$ and (**g2**) is because $\mathbf{g}' \in \mathcal{G}(\sigma, \hat{\mu})$ [both by Lemma 3.7(c)]. (*) is because $\mathbf{g}' = \Xi[b', \mathbf{a}] \stackrel{(\dagger)}{=} c \cdot \Xi[b, \mathbf{a}] = c \cdot \mathbf{g}$, where (\dagger) is Lemma 3.6(b). \square

Let $\tilde{\mathbf{I}} := \left\{ \mathbf{a} \in \mathcal{A}^{\tilde{\mathbb{N}}} ; \exists \text{ distinct } b, b' \in \mathcal{A} \text{ so that } [b, \mathbf{a}] \text{ and } [b', \mathbf{a}] \text{ are } (\Phi, \mu)\text{-generic} \right\}$.

Lemma 3.9. *If $h(\mu, \sigma) > 0$, then $\tilde{\mu}[\tilde{\mathbf{I}}] > 0$ (so the hypothesis of Lemma 3.8 is nonvacuous).*

Proof: Let $\tilde{\mathbf{F}} := \left\{ \mathbf{a} \in \mathcal{A}^{\tilde{\mathbb{N}}} ; |\text{supp}(\mu_{\mathbf{a}})| \geq 2 \right\}$. Then Lemma 3.3(c) says $\tilde{\mu}[\tilde{\mathbf{F}}] > 0$, because $h(\mu, \sigma) > 0$. Thus, $\mu[\tilde{\mathbf{F}} \cap \tilde{\mathbf{G}}] > 0$, by Lemma 3.7(d). But $\tilde{\mathbf{I}} \supseteq \tilde{\mathbf{F}} \cap \tilde{\mathbf{G}}$. \square

Lemma 3.10. *Let $c \in \mathcal{A}$, and define $\Gamma : \mathcal{A}^{\mathbb{N}} \rightarrow \mathcal{A}^{\mathbb{N}}$ by $\Gamma[\mathbf{a}] = c \cdot \mathbf{a}$. If $\hat{\mu} = \Xi[\mu]$ is Γ -invariant, then for $\forall_{\tilde{\mu}} \mathbf{a} \in \mathcal{A}^{\tilde{\mathbb{N}}}$, $\mu_{\mathbf{a}}$ is invariant under left multiplication by c .*

Proof: Let $b \in \mathcal{A}$. Let $b' := c \cdot b$. Define measurable functions $\beta, \beta' : \mathcal{A}^{\tilde{\mathbb{N}}} \rightarrow \mathbb{R}$ by $\beta(\mathbf{a}) := \mu_{\mathbf{a}}(b)$ and $\beta'(\mathbf{a}) := \mu_{\mathbf{a}}(b')$. We must show that $\beta = \beta'$, $\tilde{\mu}$ -a.e.

Claim 1: Define $\gamma : \mathcal{A}^{\mathbb{N}} \rightarrow \mathcal{A}^{\mathbb{N}}$ by $\gamma[a_0, a_1, a_2, \dots] := [c \cdot a_0, a_1, a_2, \dots]$. Then μ is γ -invariant.

Proof: For any measurable subset $\mathbf{U} \subset \mathcal{A}^{\mathbb{N}}$,

$$\mu[\gamma(\mathbf{U})] \stackrel{(\text{D})}{=} \hat{\mu}[\Xi \circ \gamma(\mathbf{U})] \stackrel{(*)}{=} \hat{\mu}[\Gamma \circ \Xi(\mathbf{U})] \stackrel{(\text{I})}{=} \hat{\mu}[\Xi(\mathbf{U})] \stackrel{(\text{D})}{=} \mu[\mathbf{U}].$$

(D) is by definition of $\hat{\mu}$. (*) is because Lemma 3.6(b) implies $\Xi \circ \gamma = \Gamma \circ \Xi$.

(I) is because $\hat{\mu}$ is Γ -invariant. \diamond Claim 1

Claim 2: For any measurable $\tilde{\mathbf{W}} \subset \mathcal{A}^{\tilde{\mathbb{N}}}$, $\int_{\tilde{\mathbf{W}}} \beta(\mathbf{w}) d\tilde{\mu}[\mathbf{w}] = \int_{\tilde{\mathbf{W}}} \beta'(\mathbf{w}) d\tilde{\mu}[\mathbf{w}]$.

Proof: Let $\mathbf{U} = [b] \times \tilde{\mathbf{W}}$, and let $\mathbf{U}' = \gamma(\mathbf{U}) = [cb] \times \tilde{\mathbf{W}} = [b'] \times \tilde{\mathbf{W}}$. Then:

$$\int_{\tilde{\mathbf{W}}} \beta(\mathbf{w}) d\tilde{\mu}[\mathbf{w}] \stackrel{(*)}{=} \mu[\mathbf{U}] \stackrel{(\dagger)}{=} \mu[\mathbf{U}'] \stackrel{(*)}{=} \int_{\tilde{\mathbf{W}}} \beta'(\mathbf{w}) d\tilde{\mu}[\mathbf{w}],$$

where (*) is by equation (1), and (\dagger) is by Claim 1. \diamond Claim 2

Claim 2 implies $\beta = \beta'$ ($\tilde{\mu}$ -a.e.). In other words, for $\forall_{\tilde{\mu}} \mathbf{a} \in \mathcal{A}^{\tilde{\mathbb{N}}}$, $\mu_{\mathbf{a}}[b] = \mu_{\mathbf{a}}[cb]$. \square

Proof of Theorem 3.1: If $h(\mu, \sigma) = 0$, then μ is an $\{e\}$ -measure by Lemma 3.3(c). So assume $h(\mu, \sigma) \neq 0$. Let \mathcal{C} be the set of all $c \in \mathcal{A}$ so that there is some $\mathbf{a} \in \mathcal{A}^{\tilde{\mathbb{N}}}$ and $b \in \mathcal{A}$ with both $[b, \mathbf{a}]$ and $[cb, \mathbf{a}]$ in $\mathcal{G}(\Phi, \mu)$. Lemma 3.9 says $\mathcal{C} \neq \emptyset$, because $h(\mu, \sigma) \neq 0$.

Claim 1: \mathcal{C} is a group, and $\mu_{\mathbf{a}}$ is invariant under (left) \mathcal{C} -multiplication for $\forall_{\tilde{\mu}} \mathbf{a} \in \mathcal{A}^{\tilde{\mathbb{N}}}$.

Proof: Lemma 3.8 says that $\hat{\mu}$ is invariant under \mathcal{C} -scalar multiplication. Let \mathcal{D} be the group generated by \mathcal{C} . Then $\mathcal{C} \subseteq \mathcal{D}$, and $\hat{\mu}$ is also invariant under \mathcal{D} -scalar multiplication. Lemma 3.10 implies that $\mu_{\mathbf{a}}$ is invariant under (left) \mathcal{D} -multiplication for $\forall_{\tilde{\mu}} \mathbf{a} \in \mathcal{A}^{\tilde{\mathbb{N}}}$. It follows from Lemma 3.7(d) that $\mathcal{D} \subseteq \mathcal{C}$, and hence, $\mathcal{C} = \mathcal{D}$. \diamond Claim 1

Claim 2: For $\forall_{\tilde{\mu}} \mathbf{a} \in \mathcal{A}^{\tilde{\mathbb{N}}}$, $\text{supp}(\mu_{\mathbf{a}})$ is a (right) coset of \mathcal{C} .

Proof: For $\forall_{\tilde{\mu}} \mathbf{a} \in \mathcal{A}^{\tilde{\mathbb{N}}}$, Claim 1 implies that $\text{supp}(\mu_{\mathbf{a}})$ is a disjoint union of cosets of \mathcal{C} , and that $\mu_{\mathbf{a}}$ is uniformly distributed on each of these cosets. Let

$$\widetilde{\mathbf{M}} := \left\{ \mathbf{a} \in \mathcal{A}^{\tilde{\mathbb{N}}} ; \text{supp}(\mu_{\mathbf{a}}) \text{ contains more than one coset of } \mathcal{C} \right\}.$$

We claim that $\tilde{\mu}[\widetilde{\mathbf{M}}] = 0$. Suppose not. Then Lemma 3.7(d) implies that $\tilde{\mu}[\widetilde{\mathbf{M}} \cap \widetilde{\mathbf{G}}] > 0$. So let $\mathbf{m} \in \widetilde{\mathbf{M}} \cap \widetilde{\mathbf{G}}$, and find elements $b, b' \in \text{supp}(\mu_{\mathbf{m}})$ living in different cosets, such that $[b, \mathbf{m}]$ and $[b', \mathbf{m}]$ are both in $\mathcal{G}(\Phi, \mu)$. If $c = b^{-1}b'$, then $b' = cb$, so $c \in \mathcal{C}$. But b and b' are in different cosets of \mathcal{C} ; hence, $c \notin \mathcal{C}$. Contradiction. \diamond **Claim 2** \square

4. **Degree of QGCA relative to invariant measures.** If μ is a Φ -invariant measure, then Φ is K -to-1 (μ - \mathfrak{a}) if there is a measurable subset $\mathcal{U} \subseteq \mathcal{A}^{\mathbb{Z}}$ such that: [i] $\mu[\mathcal{U}] = 1$; [ii] $\Phi^{-1}(\mathcal{U}) =_{\mu} \mathcal{U}$; and [iii] Every $\mathbf{u} \in \mathcal{U}$ has exactly K preimages in \mathcal{U} —ie. $|\mathcal{U} \cap \Phi^{-1}\{\mathbf{u}\}| = K$. We will generalize the methods of [1] to prove:

Theorem 4.1. Let $\Phi : \mathcal{A}^{\mathbb{Z}} \rightarrow \mathcal{A}^{\mathbb{Z}}$ be a QGCA, and let $\mu \in \mathcal{M}(\mathcal{A}^{\mathbb{Z}}; \Phi; \sigma\text{-erg})$. Let $N := |\mathcal{A}|$. Then $\exists K \in [1 \dots N]$ so that $h_{\mu}(\Phi) = \log_2(K)$, and Φ is K -to-1 (μ - \mathfrak{a}). \square

Example: Let λ be the uniform Bernoulli measure on $\mathcal{A}^{\mathbb{Z}}$. Then λ is invariant for any QGCA, $h_{\lambda}(\Phi) = \log_2(N)$, and Φ is N -to-1 (λ - \mathfrak{a}) (set $\mathcal{U} := \mathcal{A}^{\mathbb{Z}}$ above). Indeed, λ is the only (Φ, σ) -invariant measure with entropy $\log_2(N)$. Proposition 1.3 is proved in [1] by first proving a special case of Theorem 4.1 (when Φ is an affine CA) and then showing that $K = N$. \diamond

Let $\mu \in \mathcal{M}(\mathcal{A}^{\mathbb{Z}})$. If \mathfrak{q} is any partition of $\mathcal{A}^{\mathbb{Z}}$, and \mathfrak{S} is any sigma-algebra, define

$$H_{\mu}(\mathfrak{q}|\mathfrak{S}) := \sum_{\mathbf{Q} \in \mathfrak{q}} \int_{\mathbf{Q}} \log_2 \left(\mathbb{E}_{\mu}[\mathbf{Q}|\mathfrak{S}] \right) (\mathbf{x}) d\mu[\mathbf{x}]. \quad (2)$$

If $\Psi : \mathcal{A}^{\mathbb{Z}} \rightarrow \mathcal{A}^{\mathbb{Z}}$ is a continuous transformation (eg. either Φ or σ), and \mathfrak{q} is a partition of $\mathcal{A}^{\mathbb{Z}}$, and $\infty \leq \ell \leq n \leq \infty$, define $\Psi^{[\ell, n]}(\mathfrak{q}) := \bigvee_{m=\ell}^n \Psi^{-m}(\mathfrak{q})$. In particular, let \mathfrak{p}_0 be the partition of $\mathcal{A}^{\mathbb{Z}}$ generated by zero-coordinate cylinder sets, and let $\mathfrak{p}_{[\ell, n]} := \sigma^{[\ell, n]}(\mathfrak{p}_0)$. Thus, $\mathfrak{B} := \mathfrak{p}_{[-\infty, \infty]}$ is the Borel sigma-algebra of $\mathcal{A}^{\mathbb{Z}}$. Let $\mathfrak{B}^1 := \Phi^{-1}(\mathfrak{B})$. If $\mu \in \mathcal{M}(\mathcal{A}^{\mathbb{Z}}; \Phi)$, then $h_{\mu}(\Phi) = \lim_{r \rightarrow \infty} h_{\mu}(\Phi, \mathfrak{p}_{[-r, r]})$, where $h_{\mu}(\Phi, \mathfrak{p}_{[-r, r]}) := H_{\mu} \left(\mathfrak{p}_{[-r, r]} \middle| \Phi^{[1, \infty]}(\mathfrak{p}_{[-r, r]}) \right)$.

Lemma 4.2. If $\Phi : \mathcal{A}^{\mathbb{Z}} \rightarrow \mathcal{A}^{\mathbb{Z}}$ is a QGCA, and $\mu \in \mathcal{M}(\mathcal{A}^{\mathbb{Z}}; \Phi; \sigma)$, then $h_{\mu}(\Phi) = H_{\mu}(\mathfrak{p}_0|\mathfrak{B}^1)$.

Proof: Let $\mathbf{x} \in \mathcal{A}^{\mathbb{Z}}$ be an unknown sequence. Φ is bipermutative, so knowledge of $(\Phi^t(\mathbf{x}))_{[-r, r]}$ (for $t \in [0..T]$) determines $\mathbf{x}_{[-T-r, T+r]}$, and vice versa. Thus we get an equality of partitions: $\Phi^{[0, T]}(\mathfrak{p}_{[-r, r]}) = \mathfrak{p}_{[-T-r, T+r]}$. Letting $T \rightarrow \infty$ yields an equality of σ -algebras: $\Phi^{[0, \infty]}(\mathfrak{p}_{[-r, r]}) = \mathfrak{p}_{[-\infty, \infty]} = \mathfrak{B}$. Applying Φ^{-1} to everything yields: $\Phi^{[1, \infty]}(\mathfrak{p}_{[-r, r]}) = \Phi^{-1}(\mathfrak{B}) = \mathfrak{B}^1$. Thus,

$$\begin{aligned} h_{\mu}(\Phi, \mathfrak{p}_{[-r, r]}) &:= H_{\mu} \left(\mathfrak{p}_{[-r, r]} \middle| \Phi^{[1, \infty]}(\mathfrak{p}_{[-r, r]}) \right) = H_{\mu}(\mathfrak{p}_{[-r, r]}|\mathfrak{B}^1) \\ &\stackrel{(*)}{=} H_{\mu}(\mathfrak{p}_0|\mathfrak{B}^1). \end{aligned}$$

(*) is because Φ is bipermutative, so knowledge of $\Phi(\mathbf{x})$ and x_0 determines \mathbf{x} .

Thus, $h_\mu(\Phi) = \lim_{r \rightarrow \infty} h_\mu(\Phi, \mathfrak{p}_{[-r, r]}) = \lim_{r \rightarrow \infty} H_\mu(\mathfrak{p}_0 | \mathfrak{B}^1) = H_\mu(\mathfrak{p}_0 | \mathfrak{B}^1)$. \square

For any $\mathbf{x} \in \mathcal{A}^\mathbb{Z}$, let $\mathcal{F}(\mathbf{x}) := \Phi^{-1}\{\Phi(\mathbf{x})\} = \{\mathbf{y} \in \mathcal{A}^\mathbb{Z}; \Phi(\mathbf{y}) = \Phi(\mathbf{x})\}$. Hence, the sets $\mathcal{F}(\mathbf{x})$ (for $\mathbf{x} \in \mathcal{A}^\mathbb{Z}$) are the ‘minimal elements’ of sigma algebra \mathcal{B}^1 . The conditional expectation operator $\mathbb{E}_\mu[\bullet | \mathfrak{B}^1]$ defines *fibre measures* $\mu_{\mathbf{x}}$ (for $\forall_\mu \mathbf{x} \in \mathcal{A}^\mathbb{Z}$) with three properties:

- (F1) For any measurable $\mathbf{U} \subset \mathcal{A}^\mathbb{Z}$ and for $\forall_\mu \mathbf{x} \in \mathcal{A}^\mathbb{Z}$, $\mu_{\mathbf{x}}(\mathbf{U}) = \mathbb{E}_\mu[\mathbf{U} | \mathfrak{B}^1](\mathbf{x})$.
- (F2) For any fixed $\mathbf{x} \in \mathcal{A}^\mathbb{Z}$, $\mu_{\mathbf{x}}$ is a probability measure on $\mathcal{A}^\mathbb{Z}$, and $\text{supp}(\mu_{\mathbf{x}}) = \mathcal{F}(\mathbf{x})$.
- (F3) For any fixed measurable $\mathbf{U} \subset \mathcal{A}^\mathbb{Z}$, the function $\mathcal{A}^\mathbb{Z} \ni \mathbf{x} \mapsto \mu_{\mathbf{x}}(\mathbf{U}) \in \mathbb{R}$ is \mathfrak{B}^1 -measurable. Hence, $\mu_{\mathbf{x}} = \mu_{\mathbf{y}}$ for any $\mathbf{y} \in \mathcal{F}(\mathbf{x})$.

Our goal is to show that there is some constant K and, for $\forall_\mu \mathbf{x} \in \mathcal{A}^\mathbb{Z}$, there is a subset $\mathcal{E} \subset \mathcal{F}(\mathbf{x})$ of cardinality K so that $\mu_{\mathbf{x}}$ is uniformly distributed on \mathcal{E} .

Lemma 4.3. *For any measurable $\mathbf{U} \subset \mathcal{A}^\mathbb{Z}$ and for $\forall_\mu \mathbf{x} \in \mathcal{A}^\mathbb{Z}$, $\mu_{\mathbf{x}}(\sigma^{-1}(\mathbf{U})) = \mu_{\sigma(\mathbf{x})}(\mathbf{U})$.*

Proof: For $\forall_\mu \mathbf{x} \in \mathcal{A}^\mathbb{Z}$, (F1) says $\mu_{\mathbf{x}}(\sigma^{-1}(\mathbf{U})) = \mathbb{E}_\mu[\sigma^{-1}(\mathbf{U}) | \mathfrak{B}^1](\mathbf{x})$ and $\mu_{\sigma(\mathbf{x})}(\mathbf{U}) = \mathbb{E}_\mu[\mathbf{U} | \mathfrak{B}^1](\sigma(\mathbf{x}))$. We must show that $\mathbb{E}_\mu[\sigma^{-1}(\mathbf{U}) | \mathfrak{B}^1](\mathbf{x}) = \mathbb{E}_\mu[\mathbf{U} | \mathfrak{B}^1](\sigma(\mathbf{x}))$, for $\forall_\mu \mathbf{x} \in \mathcal{A}^\mathbb{Z}$. Now, $\mathbb{E}_\mu[\sigma^{-1}(\mathbf{U}) | \mathfrak{B}^1]$ and $\mathbb{E}_\mu[\mathbf{U} | \mathfrak{B}^1]$ are \mathfrak{B}^1 -measurable functions, so it suffices to show that, for any $\mathbf{B} \in \mathfrak{B}^1$,

$$\int_{\mathbf{B}} \mathbb{E}_\mu[\sigma^{-1}(\mathbf{U}) | \mathfrak{B}^1](\mathbf{x}) d\mu[\mathbf{x}] = \int_{\mathbf{B}} \mathbb{E}_\mu[\mathbf{U} | \mathfrak{B}^1](\sigma(\mathbf{x})) d\mu[\mathbf{x}].$$

$$\begin{aligned} \text{But } \int_{\mathbf{B}} \mathbb{E}_\mu[\sigma^{-1}(\mathbf{U}) | \mathfrak{B}^1](\mathbf{x}) d\mu[\mathbf{x}] &\stackrel{(\text{E})}{=} \int_{\mathbf{B}} \mathbb{1}_{\sigma^{-1}(\mathbf{U})}(\mathbf{x}) d\mu[\mathbf{x}] \\ &= \mu[\mathbf{B} \cap \sigma^{-1}(\mathbf{U})] \stackrel{(\text{I})}{=} \mu[\sigma(\mathbf{B}) \cap \mathbf{U}] = \int_{\sigma(\mathbf{B})} \mathbb{1}_{\mathbf{U}}(\mathbf{x}') d\mu[\mathbf{x}'] \\ &\stackrel{(\text{E})}{=} \int_{\sigma(\mathbf{B})} \mathbb{E}_\mu[\mathbf{U} | \mathfrak{B}^1](\mathbf{x}') d\mu[\mathbf{x}'] \stackrel{(\text{S})}{=} \int_{\mathbf{B}} \mathbb{E}_\mu[\mathbf{U} | \mathfrak{B}^1](\sigma(\mathbf{x})) d\mu[\mathbf{x}], \end{aligned}$$

as desired. Here (E) is the definition of conditional expectation, (I) is because μ is σ -invariant, and (S) is the substitution $\mathbf{x}' = \sigma(\mathbf{x})$ (because μ is σ -invariant). \square

For any $\mathbf{x} \in \mathcal{A}^\mathbb{Z}$, let $\eta(\mathbf{x}) := \mu_{\mathbf{x}}\{\mathbf{x}\}$. Thus, if $\mathbf{y} \in \mathcal{A}^\mathbb{Z}$ is an unknown, μ -random sequence, then $\eta(\mathbf{x})$ is the conditional probability that $\mathbf{y} = \mathbf{x}$, given that $\Phi(\mathbf{y}) = \Phi(\mathbf{x})$.

Lemma 4.4. (a) η is σ -invariant (μ -æ).

(b) If $\mu \in \mathcal{M}(\mathcal{A}^\mathbb{Z}; \sigma\text{-erg})$, then $\exists H \in \mathbb{R}$ so that $\eta(\mathbf{x}) = H$, for $\forall_\mu \mathbf{x} \in \mathcal{A}^\mathbb{Z}$.

(c) If $\mu \in \mathcal{M}(\mathcal{A}^\mathbb{Z}; \Phi; \sigma\text{-erg})$, then:

[i] η is Φ -invariant (μ -æ); and [ii] $h_\mu(\Phi) = -\log_2(H)$.

Proof: (a) $\eta(\sigma(\mathbf{x})) = \mu_{\sigma(\mathbf{x})}\{\sigma(\mathbf{x})\} \stackrel{(*)}{=} \mu_{\mathbf{x}}(\sigma^{-1}\{\sigma(\mathbf{x})\}) \stackrel{(\dagger)}{=} \mu_{\mathbf{x}}\{\mathbf{x}\} = \eta(\mathbf{x})$.

(*) is Lemma 4.3. (†) is because σ is invertible on $\mathcal{A}^\mathbb{Z}$. Parts (b) and (c)[i] follow.

(c)[ii]: **Claim 1:** For all $\mathbf{P} \in \mathfrak{p}_0$, and for $\forall_\mu \mathbf{x} \in \mathbf{P}$, $\mathbb{E}_\mu[\mathbf{P} | \mathfrak{B}^1](\mathbf{x}) = H$.

Proof: $\mathbb{E}_\mu[\mathbf{P} | \mathfrak{B}^1](\mathbf{x}) \stackrel{(\text{F1})}{=} \mu_{\mathbf{x}}(\mathbf{P}) \stackrel{(\text{F2})}{=} \mu_{\mathbf{x}}(\mathbf{P} \cap \mathcal{F}(\mathbf{x})) \stackrel{(\dagger)}{=} \mu_{\mathbf{x}}\{\mathbf{x}\} = \eta(\mathbf{x}) \stackrel{(\text{b})}{=} H$.

(b) is by part (b). (†) is because, if $\mathbf{x} \in \mathbf{P} \in \mathfrak{p}_0$, then $\mathbf{P} \cap \mathcal{F}(\mathbf{x}) = \{\mathbf{x}\}$ (because Φ is bipermutative, so any $\mathbf{y} \in \mathcal{F}(\mathbf{x})$ is determined by y_0 . But if $\mathbf{y} \in \mathbf{P}$, then $y_0 = x_0$, so $\mathbf{y} = \mathbf{x}$). \diamond **Claim 1**

$$\begin{aligned} \text{Thus, } h_\mu(\Phi) &\stackrel{(*)}{=} - \sum_{\mathbf{P} \in \mathbf{p}_0} \int_{\mathbf{P}} \log_2 \left(\mathbb{E}_\mu [\mathbf{P} | \mathfrak{B}^1] \right) (\mathbf{x}) d\mu[\mathbf{x}] \\ &\stackrel{(\dagger)}{=} - \sum_{\mathbf{P} \in \mathbf{p}_0} \int_{\mathbf{P}} \log_2(H) d\mu = -\log_2(H). \end{aligned}$$

(*) is by Lemma 4.2 and eqn.(2). (†) is by Claim 1. \square

We must now show that $H = \frac{1}{K}$ for some K . Let $N := |\mathcal{A}|$, and identify \mathcal{A} with the group \mathbb{Z}/N in an arbitrary way. Define $\tau : \mathcal{A}^\mathbb{Z} \rightarrow \mathcal{A}^\mathbb{Z}$ as follows. For any $\mathbf{x} \in \mathcal{A}^\mathbb{Z}$, $\tau(\mathbf{x}) = \mathbf{y}$, where \mathbf{y} is the unique element in $\mathcal{F}(\mathbf{x})$ such that $y_0 = x_0 + 1 \pmod{N}$. Existence/uniqueness of \mathbf{y} follows from bipermutativity.

Note that $\tau(\mu) \neq \mu$, so a statement which is true μ -a.e may *not* be true $\tau(\mu)$ -a.e. For example, Lemma 4.4(c)[i] does *not* imply $\eta(\Phi[\tau(\mathbf{x})]) = \eta(\tau(\mathbf{x}))$ for $\forall_\mu \mathbf{x}$.

For any $n \in \mathbb{Z}/N$, let $\mathbf{E}_n := \left\{ \mathbf{x} \in \mathcal{A}^\mathbb{Z} ; \eta(\tau^n(\mathbf{x})) > 0 \right\}$. Let $\mu_n := \tau^n(\mathbf{1}_{\mathbf{E}_n} \cdot \mu)$.

Lemma 4.5. *Let $\mu \in \mathcal{M}(\mathcal{A}^\mathbb{N}; \Phi; \sigma\text{-erg})$. Then for any $n \in \mathbb{Z}/N$, the following hold:*

- (a) μ_n is absolutely continuous relative to μ .
- (b) η is Φ -invariant (μ_n -a.e).
- (c) For $\forall_\mu \mathbf{x} \in \mathbf{E}_n$, $\eta(\mathbf{x}) = \eta(\tau^n(\mathbf{x}))$.

Proof: (a) Let $\mathbf{Z} \subset \mathcal{A}^\mathbb{Z}$ be Borel-measurable. If $\mu[\mathbf{Z}] = 0$, we must show $\mu_n[\mathbf{Z}] = 0$.

Claim 1: For $\forall_\mu \mathbf{z} \in \tau^{-n}(\mathbf{Z})$, $\eta(\tau^n(\mathbf{z})) = 0$; hence $\mathbf{z} \notin \mathbf{E}_n$.

Proof: $\eta(\tau^n(\mathbf{z})) := \mu_{\tau^n(\mathbf{z})} \{ \tau^n(\mathbf{z}) \} \stackrel{(\text{F3})}{=} \mu_{\mathbf{z}} \{ \tau^n(\mathbf{z}) \} \stackrel{(*)}{\leq} \mu_{\mathbf{z}}[\mathbf{Z}] \stackrel{(\dagger)}{=} 0$.

(*) is because $\mathbf{z} \in \tau^{-n}(\mathbf{Z})$, so $\tau^n(\mathbf{z}) \in \mathbf{Z}$. (†) is because $\int_{\mathcal{A}^\mathbb{Z}} \mu_{\mathbf{x}}[\mathbf{Z}] d\mu[\mathbf{x}] = \mu[\mathbf{Z}] = 0$, hence $\mu_{\mathbf{x}}[\mathbf{Z}] = 0$, for $\forall_\mu \mathbf{x} \in \mathcal{A}^\mathbb{Z}$. \diamond Claim 1

Hence $\mu_n[\mathbf{Z}] = (\mathbf{1}_{\mathbf{E}_n} \cdot \mu)[\tau^{-n}(\mathbf{Z})] = \mu[\tau^{-n}(\mathbf{Z}) \cap \mathbf{E}_n] \stackrel{(*)}{=} 0$, where (*) is Claim 1.

(b) Part (a) means that “ μ -a.e” implies “ μ_n -a.e”. Now invoke Lemma 4.4(c)[i].

(c) $\eta(\mathbf{x}) \stackrel{(\dagger)}{=} \eta(\Phi[\mathbf{x}]) \stackrel{(*)}{=} \eta(\Phi[\tau^n(\mathbf{x})]) \stackrel{(\text{b})}{=} \eta(\tau^n(\mathbf{x}))$. Here, (†) is Lemma 4.4(c)[i], (*) is because $\tau^n(\mathbf{x}) \in \mathcal{F}(\mathbf{x})$, and (b) is by part (b). \square

Now, let $\mathcal{E}(\mathbf{x}) := \{ \mathbf{y} \in \mathcal{F}(\mathbf{x}) ; \eta(\mathbf{y}) > 0 \}$.

Corollary 4.6. *For $\forall_\mu \mathbf{x} \in \mathcal{A}^\mathbb{Z}$, $\mu_{\mathbf{x}}$ is equidistributed on $\mathcal{E}(\mathbf{x})$. If $|\mathcal{E}(\mathbf{x})| = K$, then $\mu_{\mathbf{x}}\{\mathbf{y}\} = \frac{1}{K}$ for all $\mathbf{y} \in \mathcal{E}(\mathbf{x})$. Hence, $\eta(\mathbf{x}) = \frac{1}{K}$.*

Proof: $1 \stackrel{(\text{F2})}{=} \mu_{\mathbf{x}}(\mathcal{F}(\mathbf{x})) = \sum_{\mathbf{y} \in \mathcal{F}(\mathbf{x})} \mu_{\mathbf{x}}\{\mathbf{y}\} \stackrel{(*)}{=} \sum_{\mathbf{y} \in \mathcal{E}(\mathbf{x})} \eta(\mathbf{x}) = K \cdot \eta(\mathbf{x})$, so $\eta(\mathbf{x}) = \frac{1}{K}$.

To see (*), let $\mathbf{y} \in \mathcal{F}(\mathbf{x})$. Then $\mu_{\mathbf{x}}\{\mathbf{y}\} \stackrel{(\text{F3})}{=} \mu_{\mathbf{y}}\{\mathbf{y}\} = \eta(\mathbf{y})$. If $\mathbf{y} \notin \mathcal{E}(\mathbf{x})$, then $\eta(\mathbf{y}) = 0$. If $\mathbf{y} \in \mathcal{E}(\mathbf{x})$, let $\mathbf{y} = \tau^n(\mathbf{x})$ for $n \in \mathbb{Z}/N$. Then $\mathbf{x} \in \mathbf{E}_n$, so $\eta(\mathbf{y}) = \eta(\mathbf{x})$ by Lemma 4.5(c). \square

Corollary 4.7. *There exists $K \in [1..N]$ so that, for $\forall_\mu \mathbf{x} \in \mathcal{A}^\mathbb{Z}$, $|\mathcal{E}(\mathbf{x})| = K$, and so that $\mu_{\mathbf{x}}\{\mathbf{y}\} = \frac{1}{K}$ for all $\mathbf{y} \in \mathcal{E}(\mathbf{x})$. Thus, $h_\mu(\Phi) = \log_2(K)$.*

Proof: Corollary 4.6 implies that $H = \frac{1}{K}$ in Lemma 4.4(b). Now apply Lemma 4.4(c)[ii]. \square

Proof of Theorem 4.1: Let $\mathcal{U} := \{\mathbf{x} \in \mathcal{A}^{\mathbb{Z}}; |\mathcal{E}(\mathbf{x})| = K\}$. Then Corollary 4.7 says $\mu(\mathcal{U}) = 1$. Since μ is Φ -invariant, it follows that $\mu(\Phi^{-1}(\mathcal{U})) = 1$ also; hence $\Phi^{-1}(\mathcal{U}) \stackrel{\mu}{=} \mathcal{U}$.

Thus, for $\forall_{\mu} \mathbf{u} \in \mathcal{U}$, there is some $\mathbf{x} \in \mathcal{U}$ so that $\Phi(\mathbf{x}) = \mathbf{u}$. But then $\Phi^{-1}(\mathbf{u}) = \mathcal{F}(\mathbf{x})$, so $\Phi^{-1}(\mathbf{u}) \cap \mathcal{U} = \mathcal{F}(\mathbf{x}) \cap \mathcal{U} = \mathcal{E}(\mathbf{x})$ is a set of cardinality K , by definition of \mathcal{U} . \square

5. Endomorphic Cellular Automata. A *group shift* is a sequence space $\mathcal{A}^{\mathbb{Z}}$ equipped with a topological group structure such that σ is a group automorphism. Equivalently, the multiplication operation \bullet on $\mathcal{A}^{\mathbb{Z}}$ is defined by some *local multiplication map* $\psi : \mathcal{A}^{[-\ell..r]} \times \mathcal{A}^{[-\ell..r]} \rightarrow \mathcal{A}$ so that, if $\mathbf{a}, \mathbf{b} \in \mathcal{A}^{\mathbb{Z}}$ and $\mathbf{c} = \mathbf{a} \bullet \mathbf{b}$, then $c_0 = \psi(a_{-\ell}, \dots, a_r; b_{-\ell}, \dots, b_r)$. The most obvious group shift is a *product group*, where \mathcal{A} is a finite group and multiplication on $\mathcal{A}^{\mathbb{Z}}$ is defined componentwise. However, this is not the only group shift [6].

An *endomorphie cellular automaton* (ECA) is a cellular automaton $\Phi : \mathcal{A}^{\mathbb{Z}} \rightarrow \mathcal{A}^{\mathbb{Z}}$ which is also a group endomorphism of $\mathcal{A}^{\mathbb{Z}}$. For example, it is easy to verify:

Proposition 5.1. *Let $(\mathcal{A}, +)$ be an additive abelian group. Let $\mathcal{A}^{\mathbb{Z}}$ be the product group. Let $\Phi : \mathcal{A}^{\mathbb{Z}} \rightarrow \mathcal{A}^{\mathbb{Z}}$ be a RNNCA, with local map $\phi : \mathcal{A}^{\{0,1\}} \rightarrow \mathcal{A}$. Then:*

(a) Φ is an ECA if and only if $\phi(a_0, a_1) = \phi_0(a_0) + \phi_1(a_1)$, where ϕ_0, ϕ_1 are endomorphisms of \mathcal{A} .

(b) Φ is bipermutative if and only if ϕ_0 and ϕ_1 are automorphisms of \mathcal{A} . \square

A *beca* is a bipermutative, right-sided, nearest-neighbour endomorphic cellular automaton. Let $\mathcal{M}(\mathcal{A}^{\mathbb{Z}}; \Phi; \sigma\text{-tot})$ be the set of Φ -invariant and totally σ -ergodic measures on $\mathcal{A}^{\mathbb{Z}}$. If \mathcal{G} is a group, let $\text{Aut}(\mathcal{G})$ be the automorphism group of \mathcal{G} . If $\psi \in \text{Aut}(\mathcal{G})$ (eg. $\mathcal{G} = \mathcal{A}^{\mathbb{Z}}$ and $\phi = \sigma$) then “ $\mathcal{H} \prec_{\phi} \mathcal{G}$ ” means $\mathcal{H} \subset \mathcal{G}$ is a ϕ -invariant subgroup of \mathcal{G} . Say \mathcal{G} is ϕ -*primitive* if there are no proper nontrivial $\mathcal{H} \prec_{\phi} \mathcal{G}$. The main result of this section is:

Theorem 5.2. *Let $\mathcal{A}^{\mathbb{Z}}$ be a group shift and let $\Phi : \mathcal{A}^{\mathbb{Z}} \rightarrow \mathcal{A}^{\mathbb{Z}}$ be a beca such that $\ker(\Phi)$ is σ -primitive. If $\mu \in \mathcal{M}(\mathcal{A}^{\mathbb{Z}}; \Phi; \sigma\text{-tot})$, and $h_{\mu}(\Phi) > 0$, then $\mu = \lambda$. \square*

Recall from §4 that if $\mathbf{x} \in \mathcal{A}^{\mathbb{Z}}$, then $\mathcal{F}(\mathbf{x}) := \Phi^{-1}\{\Phi(\mathbf{x})\}$.

Lemma 5.3. *Let $\Phi : \mathcal{A}^{\mathbb{Z}} \rightarrow \mathcal{A}^{\mathbb{Z}}$ be a beca on a group shift. Let $\mathcal{K} := \ker(\Phi)$.*

(a) *For any $\mathbf{x} \in \mathcal{A}^{\mathbb{Z}}$, $\mathcal{F}(\mathbf{x}) = \mathbf{x} \bullet \mathcal{K}$.*

(b) *Let $\mathbf{e} \in \mathcal{A}^{\mathbb{Z}}$ be the identity element. Then \mathbf{e} is a constant sequence —ie. there is some $e \in \mathcal{A}$ so that $\mathbf{e} = (\dots, e, e, e, \dots)$.*

(c) $\mathcal{K} \prec_{\sigma} \mathcal{A}^{\mathbb{Z}}$. Also, if $\mathbf{k} \in \mathcal{K}$, then \mathbf{k} is entirely determined by k_0 .

(d) *There is a natural bijection $\zeta : \mathcal{A} \rightarrow \mathcal{K}$, where $\zeta[a]$ is the unique $\mathbf{k} \in \mathcal{K}$ with $k_0 = a$. In particular, $\zeta[e] = \mathbf{e}$.*

(e) *There is a permutation $\rho : \mathcal{A} \rightarrow \mathcal{A}$ so that $\sigma \circ \zeta = \zeta \circ \rho$. In particular, $\rho(e) = e$.*

Hence, every element of \mathcal{K} is P -periodic, for some $P < |\mathcal{A}|$.

(f) *Any $\mathcal{J} \prec_{\sigma} \mathcal{K}$ is thus a disjoint union of periodic σ -orbits.*

(g) $\left(\mathcal{A} \setminus \{e\} \text{ consists of a single } \rho\text{-orbit} \right) \iff \left(\mathcal{K} \text{ is } \sigma\text{-primitive} \right)$.

Proof: (a) is a basic property of group homomorphisms. For (b) recall that $\sigma \in \text{Aut}(\mathcal{A}^{\mathbb{Z}})$, so $\sigma(\mathbf{e}) = \mathbf{e}$, so \mathbf{e} must be constant. (c) follows from (b) because Φ is bipermutative. Then (c) \implies (d) \implies (e) \implies (f) \implies (g). \square

If $(\mathcal{A}, +)$ is abelian and $\mathcal{A}^{\mathbb{Z}}$ is the product group, then Lemma 5.3 takes the form:

Lemma 5.4. *Let $(\mathcal{A}, +)$ be an abelian group. Let $\mathcal{A}^{\mathbb{Z}}$ be the product group. Let $\Phi : \mathcal{A}^{\mathbb{Z}} \rightarrow \mathcal{A}^{\mathbb{Z}}$ be a beca and let $\mathcal{K} := \ker(\Phi)$.*

(a) *For any $\mathbf{x} \in \mathcal{A}^{\mathbb{Z}}$, $\mathcal{F}(\mathbf{x}) = \mathbf{x} + \mathcal{K}$.*

(b) *The map $\zeta : \mathcal{A} \rightarrow \mathcal{K}$ in Lemma 5.3(d) is a group isomorphism.*

(c) $\rho \in \text{Aut}(\mathcal{A})$, in Lemma 5.3(e). To be precise, suppose Φ has local map $\phi(a_0, a_1) = \phi_0(a_0) + \phi_1(a_1)$, where $\phi_0, \phi_1 \in \text{Aut}(\mathcal{A})$, as in Proposition 5.1(b). Then $\rho = -\phi_1^{-1} \circ \phi_0$.

(d) *If $\mathcal{J} \prec_{\sigma} \mathcal{K}$, then $\mathcal{J} = \zeta(\mathcal{B})$, for some $\mathcal{B} \prec_{\rho} \mathcal{A}$.*

(e) $\left(\mathcal{A} \text{ is } \rho\text{-primitive} \right) \iff \left(\mathcal{K} \text{ is } \sigma\text{-primitive} \right)$.

Proof: (b) To see that ζ is a group homomorphism, suppose $\mathbf{k} = \zeta(a)$ and $\mathbf{k}' = \zeta(a')$. Let $\mathbf{j} = \mathbf{k} + \mathbf{k}'$ and let $\mathbf{i} = \zeta(a + a')$; we must show $\mathbf{j} = \mathbf{i}$. The operation on \mathcal{K} is componentwise addition, so $j_0 = k_0 + k'_0 = a + a' = i_0$. Then Lemma 5.3(c) implies $\mathbf{i} = \mathbf{j}$. Hence, ζ is a homomorphism, and thus, an isomorphism (it is bijective). All other claims follow. \square

Let η be as in §4, and for any $\mathbf{k} \in \mathcal{K}$, let $\mathbf{E}_{\mathbf{k}} := \{\mathbf{x} \in \mathcal{A}^{\mathbb{Z}} ; \eta(\mathbf{x} \bullet \mathbf{k}) > 0\}$.

Lemma 5.5. *Suppose $\mu \in \mathcal{M}(\mathcal{A}^{\mathbb{N}}; \Phi; \sigma\text{-erg})$. For any $\mathbf{k} \in \mathcal{K}$, the following hold:*

(a) $\sigma(\mathbf{E}_{\mathbf{k}}) \stackrel{\mu}{=} \mathbf{E}_{\sigma(\mathbf{k})}$. (b) *Thus, if $\sigma^P(\mathbf{k}) = \mathbf{k}$, then $\sigma^P(\mathbf{E}_{\mathbf{k}}) \stackrel{\mu}{=} \mathbf{E}_{\mathbf{k}}$.*

Proof: (a) For $\forall_{\mu} \mathbf{x} \in \mathbf{E}_{\mathbf{k}}$, $0 < \eta(\mathbf{x} \bullet \mathbf{k}) \stackrel{(*)}{=} \eta(\sigma(\mathbf{x} \bullet \mathbf{k})) = \eta(\sigma(\mathbf{x}) \bullet \sigma(\mathbf{k}))$, and thus, $\sigma(\mathbf{x}) \in \mathbf{E}_{\sigma(\mathbf{k})}$. Hence $\sigma(\mathbf{E}_{\mathbf{k}}) \subseteq_{\mu} \mathbf{E}_{\sigma(\mathbf{k})}$. By symmetric reasoning, $\mathbf{E}_{\sigma(\mathbf{k})} \subseteq_{\mu} \sigma(\mathbf{E}_{\mathbf{k}})$.

To see $(*)$, define $\mu_{\mathbf{k}} \in \mathcal{M}(\mathcal{A}^{\mathbb{Z}})$ by $\mu_{\mathbf{k}}[\mathbf{U}] := \mu[\mathbf{E}_{\mathbf{k}} \cap (\mathbf{U} \bullet \mathbf{k}^{-1})]$. Then $\mu_{\mathbf{k}}$ is absolutely continuous with respect to μ , by reasoning similar to Lemma 4.5(a); hence η is σ -invariant $(\mu_{\mathbf{k}}\text{-}\mathfrak{a})$, by reasoning similar to Lemma 4.5(b). \square

Corollary 5.6. *For any $\mathbf{x} \in \mathcal{A}^{\mathbb{Z}}$, let $\mathcal{E}(\mathbf{x}) := \{\mathbf{y} \in \mathcal{F}(\mathbf{x}) ; \eta(\mathbf{y}) > 0\}$ as in §4. If $\mu \in \mathcal{M}(\mathcal{A}^{\mathbb{Z}}; \Phi; \sigma\text{-tot})$, then there exists $\mathcal{J} \prec_{\sigma} \mathcal{K}$ so that, for $\forall_{\mu} \mathbf{x} \in \mathcal{A}^{\mathbb{Z}}$, $\mathcal{E}(\mathbf{x}) = \mathbf{x} \bullet \mathcal{J}$.*

Proof: Define $\mathcal{J} := \{\mathbf{k} \in \mathcal{K} ; \mu(\mathbf{E}_{\mathbf{k}}) > 0\}$.

Claim 1: *For any $\mathbf{j} \in \mathcal{J}$, $\mu(\mathbf{E}_{\mathbf{j}}) = 1$.*

Proof: Lemma 5.3(e) yields $P \in \mathbb{N}$ so that $\sigma^P(\mathbf{j}) = \mathbf{j}$. Then Lemma 5.5(b) says that $\sigma^P(\mathbf{E}_{\mathbf{j}}) = \mathbf{E}_{\mathbf{j}}$. But μ is σ^P -ergodic; hence $\mu(\mathbf{E}_{\mathbf{j}}) = 1$. \diamond Claim 1

Claim 2: *For $\forall_{\mu} \mathbf{x} \in \mathcal{A}^{\mathbb{Z}}$, $\mathcal{E}(\mathbf{x}) = \mathbf{x} \bullet \mathcal{J}$.*

Proof: $\mathcal{E}(\mathbf{x}) = \{\mathbf{x} \bullet \mathbf{k} ; \mathbf{k} \in \mathcal{K}, \mathbf{x} \in \mathbf{E}_{\mathbf{k}}\}$, so we must show: for $\forall_{\mu} \mathbf{x} \in \mathcal{A}^{\mathbb{Z}}$, and all $\mathbf{k} \in \mathcal{K}$, $\left(\mathbf{x} \in \mathbf{E}_{\mathbf{k}} \right) \iff \left(\mathbf{k} \in \mathcal{J} \right)$. Now, $\mu\left[\bigcup_{\mathbf{k} \in \mathcal{K} \setminus \mathcal{J}} \mathbf{E}_{\mathbf{k}}\right] = 0$, by definition of \mathcal{J} , and $\mu\left[\bigcap_{\mathbf{j} \in \mathcal{J}} \mathbf{E}_{\mathbf{j}}\right] = 1$, by Claim 1. Thus, for $\forall_{\mu} \mathbf{x} \in \mathcal{A}^{\mathbb{Z}}$, we have $\mathbf{x} \in \bigcap_{\mathbf{j} \in \mathcal{J}} \mathbf{E}_{\mathbf{j}} \setminus \bigcup_{\mathbf{k} \in \mathcal{K} \setminus \mathcal{J}} \mathbf{E}_{\mathbf{k}}$. \diamond Claim 2

Let $\mathcal{U} := \mathbf{E}_{\mathbf{e}} = \{\mathbf{x} \in \mathcal{A}^{\mathbb{Z}} ; \eta(\mathbf{x}) > 0\}$.

Claim 3: *If $\mathbf{k} \in \mathcal{K}$, then $\left(\mathbf{k} \in \mathcal{J} \right) \iff \left(\mathcal{U} \bullet \mathbf{k} \subseteq_{\mu} \mathcal{U} \right)$.*

Proof: $\left(\mathbf{k} \in \mathcal{J} \right) \stackrel{\dagger*}{\iff} \left(\mu[\mathbf{E}_{\mathbf{k}}] = 1 \right) \stackrel{* \diamond}{\iff} \left(\mu[\mathcal{U} \cap \mathbf{E}_{\mathbf{k}}] = 1 \right) \stackrel{\ddagger}{\iff}$

$\left(\text{For } \forall_{\mu} \mathbf{u} \in \mathcal{U}, \eta(\mathbf{u} \bullet \mathbf{k}) > 0 \right) \xleftrightarrow{\diamond} \left(\text{For } \forall_{\mu} \mathbf{u} \in \mathcal{U}, \mathbf{u} \bullet \mathbf{k} \in \mathcal{U} \right) \iff$
 $\left(\mathcal{U} \bullet \mathbf{k} \subseteq_{\mu} \mathcal{U} \right)$. Here $(*)$ is by Claim 1, (\dagger) is by definition of \mathcal{J} , (\ddagger) is by
definition of $\mathbf{E}_{\mathbf{k}}$, and (\diamond) is by definition of \mathcal{U} . \diamond Claim 3

Claim 4: \mathcal{J} is a subgroup of \mathcal{K} .

Proof: Let $\mathbf{j}_1, \mathbf{j}_2 \in \mathcal{J}$, and $\mathbf{j} := \mathbf{j}_1 \bullet \mathbf{j}_2$. Claim 3 says $\mathcal{U} \bullet \mathbf{j} = (\mathcal{U} \bullet \mathbf{j}_1) \bullet \mathbf{j}_2 \subseteq \mathcal{U} \bullet \mathbf{j}_2 \subseteq \mathcal{U}$.

Claim 3 then says $\mathbf{j} \in \mathcal{J}$. Thus \mathcal{J} is closed under \bullet ; being finite, \mathcal{J} is a
subgroup. \diamond Claim 4

To see that $\sigma^{-1}(\mathcal{J}) = \mathcal{J}$, let $\mathbf{k} \in \mathcal{K}$. Then $\left(\mathbf{k} \in \mathcal{J} \right) \xleftrightarrow{\dagger} \left(\mu[\mathbf{E}_{\mathbf{k}}] = 1 \right)$
 $\xleftrightarrow{*} \left(\mu[\sigma(\mathbf{E}_{\mathbf{k}})] = 1 \right) \xleftrightarrow{\ddagger} \left(\mu[\mathbf{E}_{\sigma(\mathbf{k})}] = 1 \right) \xleftrightarrow{*} \left(\sigma(\mathbf{k}) \in \mathcal{J} \right) \iff$
 $\left(\mathbf{k} \in \sigma^{-1}(\mathcal{J}) \right)$. Here, (\dagger) is by Claim 1, $(*)$ is because μ is σ -invariant, and (\ddagger)
is by Lemma 5.5(a). \square

Corollary 5.7. Let $J := |\mathcal{J}|$. Then $h_{\mu}(\Phi) = \log(J)$, and Φ is J -to-1 (μ -æ).

Proof: Combine Corollary 5.6 with Corollary 4.7. \square

Proof of Theorem 5.2: If $h_{\mu}(\Phi) > 0$, then Corollary 5.7 says $|\mathcal{J}| > 1$. But
 $\mathcal{J} \prec_{\sigma} \mathcal{K}$, and \mathcal{K} is σ -primitive, so $\mathcal{J} = \mathcal{K}$. Thus, $|\mathcal{J}| = |\mathcal{K}| \stackrel{(*)}{=} |\mathcal{A}|$, where $(*)$ is
by Lemma 5.3(d). Thus, $h_{\mu}(\sigma) \stackrel{(*)}{=} h_{\mu}(\Phi) \stackrel{(\dagger)}{=} \log |\mathcal{A}|$, which means $\mu = \lambda$. Here
 $(*)$ is by Lemma 2.3(f) and (\dagger) is by Corollary 5.7. \square

Lemmas 5.3(g) and 5.4(e) characterize when \mathcal{K} is σ -primitive. For example, let
 $p \in \mathbb{N}$ be prime, and $\mathcal{A} := (\mathbb{Z}/p)^N$ for some $N > 0$. Then \mathcal{A} is a vector space
over the field \mathbb{Z}/p , and $\rho : \mathcal{A} \rightarrow \mathcal{A}$ is a group automorphism iff ρ is a \mathbb{Z}/p -linear
automorphism. Thus, ρ can be described by an $N \times N$ matrix \mathbf{M} of coefficients
in \mathbb{Z}/p . Furthermore, $\mathcal{B} \subset \mathcal{A}$ is a (ρ -invariant) subgroup iff \mathcal{B} is a (ρ -invariant)
subspace. The ρ -invariant subspaces in \mathcal{A} are described by the *rational canonical*
form [2, §12.2] of ρ , which is a matrix $\widetilde{\mathbf{M}}$, similar to \mathbf{M} , of the form

$$\widetilde{\mathbf{M}} = \begin{bmatrix} \mathbf{M}_1 & \dots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \dots & \mathbf{M}_L \end{bmatrix}, \text{ where, for all } \ell \in [1..L], \mathbf{M}_{\ell} = \left[\begin{array}{c|c} 0 \dots 0 & m_{\ell 1} \\ \hline \mathbf{Id} & \vdots \\ & m_{\ell r_{\ell}} \end{array} \right],$$

(for some $r_{\ell} > 0$ and $m_{\ell 1}, \dots, m_{\ell r_{\ell}} \in \mathbb{Z}/p$, and where \mathbf{Id} is an identity matrix).
Each *component matrix* \mathbf{M}_{ℓ} corresponds to a ρ -invariant subspace of \mathcal{A} . We say
 $\rho \in \text{Aut}(\mathcal{A})$ is *simple* if its rational canonical form has only one component.

Lemma 5.8. $\left(\rho \text{ is simple} \right) \iff \left(\mathcal{A} \text{ is } \rho\text{-primitive.} \right)$. \square

Corollary 5.9. Let $\mathcal{A} = (\mathbb{Z}/p)^N$. Let $\mathcal{A}^{\mathbb{Z}}$ be the product group. Let $\Phi : \mathcal{A}^{\mathbb{Z}} \rightarrow \mathcal{A}^{\mathbb{Z}}$
be a beca with local map $\phi(a_0, a_1) = \phi_0(a_0) + \phi_1(a_1)$. If $\rho = -\phi_1^{-1} \circ \phi_0$ is simple,
then the conclusion of Theorem 5.2 holds.

Proof: Combine Lemma 5.8 with parts (c) and (e) of Lemma 5.4. \square

Example 5.10: Let $\mathcal{A} = (\mathbb{Z}/7)^4$, and let $\phi(a_0, a_1) = \phi_0(a_0) + a_1$, where ϕ_0 has
matrix

$$\begin{bmatrix} 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 1 \\ 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 1 \end{bmatrix}$$

Thus, $\rho = -\phi_0$ is simple. Hence, if $\mu \in \mathcal{M}(\mathcal{A}^{\mathbb{Z}}; \Phi; \sigma^{\text{-tot}})$ and $h_\mu(\Phi) > 0$, then $\mu = \lambda$. \diamond

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Received September 2003; first revision April 2004; second revision December 2004.

E-mail address: pivato@xaravve.trentu.ca