

## Cardinals II

2020-11-25

①

Thm: (Georg Cantor, 1874)  $|A| < |P(A)|$

proof: Since  $f(a) = \{a\}$  (for all  $a \in A$ ) maps  $A$  1-1 into  $P(A)$ , we have  $|A| \leq |P(A)|$ .

Suppose, by way of contradiction, that there was a 1-1 onto function  $g: A \rightarrow P(A)$ . Let  $X = \{a \in A \mid a \notin g(a)\}$ .

Since  $g$  is allegedly 1-1 & onto  $P(A)$ , there is some  $x \in A$  such that  $g(x) = X$ . Then  $x \in X \Leftrightarrow x \notin g(x) = X$ , a contradiction.

Thus there is no 1-1 onto function between  $A$  and  $P(A)$ , so  $|A| \neq |P(A)|$ , and so  $|A| < |P(A)|$ . //

So far, we've only talked about "Cardinality", not "Cardinals".

Defn: An ordinal  $\kappa$  is a cardinal if  $|a| < |\kappa|$  for all  $a < \kappa$ .

Note: So the cardinals, as a subcollection of the ordinals, are well-ordered by the order on the ordinals ( $\in$ ).

Notation & terminology:

An ordinal that is finite ~~is~~<sup>is</sup> a cardinal, i.e. every natural number is a cardinal. (Exercise: prove this!).

The infinite cardinals are usually denoted by aleph  $\rightarrow \aleph_\alpha$  ~~or~~<sup>or</sup>  $\omega_\alpha$  for the  $\alpha^{th}$  infinite cardinal.

So  $\omega_0 = \aleph_0 = \omega = \mathbb{N}, \dots$

Prop:  $\omega = \omega_0 = \aleph_0$  is a cardinal.

proof: By definition,  $\omega$  is a cardinal if for every  $n < \omega$ , we have  $\|n\| < \|\omega\|$ . This last is true since each  $n < \omega$  is finite and  $\omega$  is infinite. //

Notation: Generic cardinals are often referred to by Greek letters from the middle of the alphabet, e.g.  $\kappa, \lambda, \mu, \dots$

Prop: Suppose  $\alpha \geq \omega$  (ie  $\alpha$  is an infinite ordinal).

Then  $S(\alpha)$  is not a cardinal.

proof: We'll do the case that  $\alpha = \omega$  first,

$$\omega = \{0, 1, 2, 3, \dots\} \quad \& \quad S(\omega) = \omega \cup \{\omega\}$$

$$= \{0, 1, 2, 3, \dots, \omega\}$$

But we know that  $f: S(\omega) \rightarrow \omega$

defined by  $f(\omega) = 0$

&  $f(n) = n+1$  for  $n \in \omega$

is 1-1 & obviously onto, so  $\|\omega\| = \|S(\omega)\|$ , so  $\|\omega\| \neq \|S(\omega)\|$ .

Hence, by definition,  $S(\omega)$  is not a cardinal.

Now suppose  $\alpha > \omega$ . Again,  $S(\alpha) = \alpha \cup \{\alpha\}$ . Define a 1-1 onto function  $g: S(\alpha) \rightarrow \alpha$  by  $g(\alpha) = 0$ ,

$g(n) = n+1$  for all  $n \in \omega \neq \alpha$ ,

&  $g(\beta) = \beta$  for all  $\beta \in \alpha - \omega$ .

$\therefore$  By definition,  $\|\alpha\| \neq \|S(\alpha)\|$  since  $\|\alpha\| = \|S(\alpha)\|$ . //

Note, however, that if  $n$  is finite (i.e.  $n < \omega$ ),  
then  $n$  is a cardinal and so is  $S(n) = n+1$ .

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Thm: If  $A$  is a set of cardinals,  
the  $\cup A$  is also a cardinal.

proof: Case 1:  $A$  has a maximum element, say  $\kappa$ .

Then  $\cup A = \kappa$  and for any  $\alpha < \kappa$ ,  $\|\alpha\| < \|\kappa\|$   
since  $\kappa$  is a cardinal.

Case 2:  $A$  has no maximum element.

Suppose we let  $\kappa = \cup A$ ; then  $\kappa$  is an ordinal.

If  $\alpha < \kappa$ , then  $\alpha \in \lambda$  for some  $\lambda \in A$ .

Then  $\|\alpha\| < \|\lambda\|$  since  $\lambda \in A$  is a cardinal.

Since  $\lambda \subseteq \cup A = \kappa$ , it follows that

$$\|\alpha\| < \|\lambda\| \leq \|\kappa\|.$$

$\therefore \kappa = \cup A$  is a cardinal. //

Next: Schröder-Bernstein Thm:  $\|A\| \leq \|B\| \leq \|A\| \Rightarrow \|A\| = \|B\|$ .