

Ordinals, or, the natural numbers extended  
"to infinity, and beyond!"

[With apologies to the  
Toy Story franchise.]

①

Def'n: A linear order  $<$  on a set  $A$  is a well-order (1999)  
if every non-empty subset of  $A$  has a least element.

Lemma:  $<_{\mathbb{N}}$  is a well-order on  $\mathbb{N}$ .

proof: Suppose that  $X \subseteq \mathbb{N}$  and  $X \neq \emptyset$ .

By the Foundation Axiom, there is some  $a \in X$

s.t.  $a \cap X = \emptyset$ . (Claim:  $a$  is <sup>the</sup>  $<_{\mathbb{N}}$ -least element of  $X$ .)

Suppose  $b \in X$  and  $a \neq b$ . The linear order  $<_{\mathbb{N}}$  is really  $\in$ ,  
by definition. Since  $a \cap X = \emptyset$ ,  $b \notin a$ . Since  $<_{\mathbb{N}}$  is  
 $\subseteq b \notin a$

a linear order, it satisfies trichotomy, and since  $a \neq b$  &  $b \notin a$ ,  
we must have  $a <_{\mathbb{N}} b$ . Thus  $a$  is the least element of  $X$ . //

(2)

Def<sup>n</sup>: An ordinal is a set  $\alpha$  such that:

(1)  $\in$  is a well-order on  $\alpha$

& (2)  $\alpha$  is closed downward under  $\in$  i.e.  $a \in b \ \& \ b \in \alpha \Rightarrow a \in \alpha$ .

Notation: We usually use lower-case Greek letters to represent ordinals.

Our lemma tells us that  $\mathbb{N}$  satisfy (1) of being an ordinal.

Since we already know that if  $k \in n$  &  $n \in \mathbb{N}$ , that  $k \in \mathbb{N}$

too (Since  $n = \{0, 1, \dots, n-1\} \subseteq \mathbb{N}$ ),  $\mathbb{N}$  also satisfies (2),

so  $\mathbb{N}$  is an ordinal.

Prop: If  $\alpha$  is an ordinal and  $b \in \alpha$ , then  $b$  is an ordinal too.

proof: Suppose  $\alpha$  is an ordinal and  $b \in \alpha$ . Since  $a \in b \ \& \ b \in \alpha \Rightarrow a \in \alpha$ ,

we have  $b \subseteq \alpha$ , so it is linearly ordered by  $\in$  because  $\alpha$  is.

$b$  is also well-ordered by  $\in$  since every nonempty subset of  $b$  is

also a non-empty subset of  $\alpha$ . Thus  $\in$  satisfies (1) on  $b$ .

We claim that  $\in$  also satisfies (2), i.e.  $b$  is downward closed under  $\in$ : Suppose  $x \in b$  &  $y \in x$ .

Since  $x \in b$  &  $b \in \alpha$ , and  $\alpha$  is an ordinal,  $x \in \alpha$ .

Since  $y \in x$  &  $x \in \alpha$ , and  $\alpha$  is an ordinal,  $y \in \alpha$ .

Then  $y \in x$  &  $x \in b$  for elements  $y, x, b \in \alpha$ ,

so by ~~trichotomy~~ <sup>transitivity</sup> (as  $\in$  is a linear order on  $\alpha$ ),

$y \in b$ . Thus  $b$  and  $\in$  satisfy (2) as well.

∴  $b \in \alpha$  is an ordinal if  $\alpha$  is. //

Corollary: If  $n \in \mathbb{N}$ , then  $n$  is an ordinal.

proof:  $\mathbb{N}$  is an ordinal. //

Prop: If  $\alpha$  is an ordinal, then  $S(\alpha) = \alpha \cup \{\alpha\}$  is an ordinal.

proof: (1)  $\in$  is a well-order on  $S(\alpha)$ : First, check it's a linear order.

1)  $\in$  is irreflexive: If  $a \in S(\alpha)$ , then  $a \notin a$  by the Axiom of Foundation.

2)  $\in$  is transitive: Suppose  $a \in b$  and  $b \in c$ . For  $a, b, c \in S(\alpha)$ . (4)

case i)  $a, b, c \in \alpha$ : Then  $a \in c$  because  $\in$  is a linear order on  $\alpha$ .

case ii)  $c \in \alpha$ : Then  $a \in b$  &  $b \in \alpha$ , so  $a \in \alpha$  by the fact that  $\alpha$  is an ordinal, so  $\alpha$  is downward closed under  $\in$ .

$\in$  is transitive on  $S(\alpha)$

3)  $\in$  satisfies trichotomy: Suppose  $a, b \in S(\alpha)$ .

case i)  $a, b \in \alpha$ : Then exactly one of  $a \in b$ ,  $a = b$ , or  $b \in a$  holds because  $\in$  is a linear on  $\alpha$ .

case ii) At least one of  $a, b$  is  $\alpha$ . Suppose  $b = \alpha$ .

Then a) if  $a = \alpha$  too, then  $a = b$  and neither  $a \in b$  nor  $b \in a$  happens by Foundation

b)  $a \neq \alpha$ , in which case  $a \in \alpha$  and neither  $a \in b$  (by Foundation) nor  $b = a$  (by hypothesis) occurs.

$\in$  satisfies trichotomy on  $S(\alpha)$ . //

Prop: If  $\alpha$  and  $\beta$  are ordinals, then

$$\alpha \neq \beta \iff \alpha \in \beta.$$

(5)

proof:  $\boxed{\Leftarrow}$  Suppose  $\alpha \in \beta$ . Then  $\alpha \neq \beta$  by Foundation.  
 $\alpha \subset \beta$  because if  $a \in \alpha \in \beta$ , then  $a \in \beta$   
because  $\beta$  is an ordinal (by (2) of the def'n, downward closure under  $\in$ ).  
Thus  $\alpha \neq \beta$ .

$\boxed{\Rightarrow}$  Suppose  $\alpha \neq \beta$ . Then  $\beta \setminus \alpha = \{x \in \beta \mid x \notin \alpha\} \neq \emptyset$ .  
Since  $\in$  is a well-order on  $\beta$ ,  $\beta \setminus \alpha$  has a least element  $b$ .  
Then if  $x \in b$ , then  $x \in \alpha$  since otherwise  $x$  would be  
a smaller <sup>(so  $x \in \beta$ )</sup> element of  $\beta \setminus \alpha$ , and so  $b \in \alpha$ .  
On the other hand, suppose  $x \in \alpha$ . Then  $x \in \beta$  too.  
By trichotomy,  $x \in b$ , or  $x = b$ , or  $b \in x$ . If  $x = b$ ,  
then  $b \in \alpha$ .

To be finished next time

due to unintelligence moments  
of the instructors. //